

THE INFLUENCE OF GENETICS ON RESPONSE TO TREATMENT WITH RANIBIZUMAB (LUCENTIS) FOR AGE-RELATED MACULAR DEGENERATION: THE LUCENTIS GENOTYPE STUDY (AN AMERICAN OPHTHALMOLOGICAL SOCIETY THESIS)

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ABSTRACT

Purpose: Age-related macular degeneration (AMD) has a complex etiology arising from genetic and environmental influences. This past decade have seen several genes associated with the disease. Variants in five genes have been confirmed to play a major role. The objective of this study was to evaluate whether genes influence treatment response to ranibizumab for neovascular AMD. The hypothesis was that an individual's genetic variation will determine treatment response.

Methods: The study was a two-site prospective open-label observational study of patients newly diagnosed with exudative (neovascular) AMD receiving intravitreal ranibizumab therapy. Treatment-naïve patients were enrolled at presentation and received monthly "as needed" therapy. Clinical data was collected monthly and DNA extracted. Genotyping was performed using the Illumina (San Diego, California) 660-Quad single-nucleotide polymorphism (SNP) chip. Regression analyses were performed to identify SNPs associated with treatment-response end points.

Results: Sixty-five patients were enrolled. No serious adverse events were recorded. The primary outcome measure was change in ETDRS visual acuity at 12 months. A SNP in the *CFH* gene was found to be associated with less improvement in visual acuity while receiving ranibizumab therapy. The *C3* gene, among others, was associated with reduced thickening and improved retinal architecture. *VEGFA*, *FLT1*, and *CFH* were associated with requiring fewer ranibizumab injections over the 12-month study.

Conclusions: This study is one of the first prospective pharmacogenetic study of intravitreal ranibizumab. Although preliminary, the results identify a number of putative genetic variants, which will be further examined by replication and functional studies to elucidate the complete pharmacogenetic architecture of therapy for AMD.

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INTRODUCTION

STUDY OVERVIEW

Objective

The objective of this study was to evaluate associations between genetic factors and treatment response to the humanized monoclonal anti-vascular endothelial growth factor (VEGF) antibody, ranibizumab (Lucentis), for neovascular age-related macular degeneration (AMD). The principal hypothesis was that an individual's genetic variation would influence both functional visual and biological end points to this intravitreal therapy. It is hoped that such research will define the genetic biomarker spectrum to allow treatment individualization and optimize visual outcomes.

Significance of the Problem

Previous therapies for "wet," or exudative, AMD that utilized laser therapy to destroy or occlude the choroidal neovascularization (CNV)¹⁻³ have been largely superseded by the introduction of anti-VEGF antibodies given by injection into the vitreous cavity of the eye.⁴⁻⁷ Two agents are currently used: ranibizumab (Lucentis, which is approved by the US Food and Drug Administration [FDA]) and bevacizumab (Avastin, "off label"). Both have revolutionized outcomes for those with the condition; evidence is clear that the vast majority of patients benefit from therapy. However, little is known about which eyes will respond best or what might be the best treatment regimen. Since the injections are costly and need to be repeated frequently, it would be of significant benefit to design an individualized regimen to optimize the visual outcome while minimizing the number and frequency of injections.

Rationale and Key Study Design Considerations

Interactions between drugs and genes—pharmacogenetics—can be studied using a variety of in vitro and in vivo methods. In vitro studies may be most useful for drug screening and investigations of basic biology but cannot easily be extrapolated to predict treatment effects in humans. These are best evaluated in pharmacogenetic clinical trials. This thesis describes one such clinical study, the Lucentis Genotype Study, which was undertaken prospectively to avoid the limitations inherent in retrospective review (clinical heterogeneity, missing data, and variations in therapeutic administration).

The length of the study (time from enrollment to primary end point) was chosen to be 12 months. This would allow enough time for a substantial treatment effect while keeping the study to a manageable time frame. Adherence to a clinical evaluation and treatment protocol that mirrored "standard of care" was imperative to maximize the relevance of findings to clinical practice. It was considered an advantage to have more than one site so as to minimize ascertainment and treatment bias.

PHARMACOGENETICS

The theory of complex traits is based upon the idea that multiple variations in the genetic code (most frequently single-nucleotide polymorphisms [SNPs], insertions or deletions ["indels"], and copy number variants) act in concert to determine a particular

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phenotype. Evidence suggests that these variants result in functionally important alterations in, among other things, the activity, expression levels, stability, and splicing of the RNA and proteins they encode. The action of these variants is, however, not independent of external and environmental influences. A simple example would be obesity, which is determined by a number of genetic variants.^{8,9} Given the same diet, an individual with one genotype will maintain a different body mass index than someone with a different genotype. However, faced with starvation, the individual will be thinner than someone with the same genetic profile who is well-nourished. In the same way, the response to other exogenous factors, such as drugs, will be influenced by genetic variation. This forms the basis of pharmacogenetics, which attempts to define the genetic variants that influence variable response to medication. The ultimate goal of pharmacogenetic studies is to identify those who respond best and avoid adverse reactions.

History

The British physician Archibald Garrod first recognized a familial or genetic tendency to variability in drug response.¹⁰ He hypothesized that drugs were metabolized by specific pathways, and defects in their component enzymes would result in differences in drug concentrations and therefore drug effect. This was validated in the 1940s with the observation of a high incidence of hemolysis to exposure to antimalarial drugs among individuals with glucose-6-phosphate dehydrogenase deficiency.¹¹ A decade later, Price-Evans showed in a classic twin study that metabolism of the antituberculous drug, isoniazid, was much less variable in monozygotic twins as compared with dizygotic twins, suggesting a strong heritable component.¹² Subsequently, a large number of studies have defined pharmacogenetic interactions in many biomedical fields. These include therapies for neurologic and psychiatric disorders,¹³⁻¹⁵ asthma,¹⁶ cardiovascular disease,¹⁷ and cancer.^{18,19}

PHARMACOGENETIC MECHANISMS

Pharmacokinetic Variability

The term *pharmacokinetic variability* refers to variability in the delivery of a drug or metabolite(s) to target molecules and is known as drug disposition. Typically, this has included drug absorption, distribution, metabolism, and elimination, but more recently recognized are intracellular molecular trafficking, chaperoning, and the regulation of gene expression. Probably the best known examples of pharmacogenetic variability are drug elimination by N-acetylation in which genetic variation forms the basis of fast and slow acetylators²⁰ and variants in drug metabolism by the cytochrome P-450 system.²¹ Examples are given in Table 1. Typically, SNPs play a more significant role where the therapeutic range is narrow.

TABLE 1. EXAMPLES OF PHARMACOGENETIC INTERACTIONS BETWEEN GENES AND DRUGS CURRENTLY IN CLINICAL PRACTICE		
GENE PRODUCT	DRUG	EFFECT OF THE MINOR ALLELE*
<i>Drug disposition</i>		
CYP2C9	Warfarin	Reduced anticoagulant effect
<i>Drug targets</i>		
HERG/MiRP1	QT-prolonging drugs	Increased risk of arrhythmia
<i>Modulators of drug action</i>		
G6PD	Antimalarials	Increased risk of hemolysis

*In each case, the effect on drug activity of carrying the genetic change is shown.

Pharmacodynamic Variability

Individuals with the same drug tissue concentration of a drug vary in their response to treatment. Two mechanisms are at play: (1) genetic variability in the molecular target of the drug and (2) interactions with the molecules downstream of the target. A good example of pharmacodynamic variability is that of β -blockers, which are highly beneficial in those individuals at risk for heart failure who are homozygous for an intronic deletion in the *ACE* (angiotensin-converting enzyme) gene (the DD genotype).²² This gene encodes a key enzyme in the renin-angiotensin system involved in the maintenance of plasma volume even though β -blockers do not act directly on the gene itself.²³

Identification of Candidate Genes: Pharmacogenomics

As with all analyses, the initial step is to determine, identify, and thoroughly evaluate the phenotype. In pharmacogenetic research, the phenotype should be a clinically relevant treatment end point and ideally one without known cause but with significant inter-individual variability (suggesting that genetic variation may be important). The next step is to accumulate a list of candidate genes based on the biology of the drug with focus on pharmacokinetic and pharmacodynamic candidates. In an era where genome-wide association is routine and Whole Exome sequencing is a reality, it is tempting to suggest that these technologies may be gainfully

employed. However, problems with multiple testing and false discovery rates may limit their use unless large numbers of samples can be studied. Other classic gene identification methods, such as positional cloning, are of limited utility since these are reliant on large pedigrees, which are rare in this field. The final step is to choose which polymorphisms within each gene should be studied. In some instances, common haplotypes are known or can be constructed from such resources as the HapMap (<http://www.hapmap.org>), offering the opportunity to limit genotyping to only tagging SNPs and so most parsimoniously capture most of the variation in the genome. In other genes, these may not so readily be defined, and typically the choice in SNPs is focused on those which are predicted to result in amino acid changes (nonsynonymous coding SNPs).

Challenges Specific to Pharmacogenetic Analysis

The detection of genetic interactions is challenging because the large number of possible genotype combinations reduces the power to detect associations due to multiple testing and false discovery. Since this study was likely to enroll a relatively small cohort, it was designed as a carefully conducted pilot study with the specific intent to generate hypothesis about genetic signals for evaluation in future studies. Since both environmental and genetic risk factors are associated with development of neovascular AMD, it was important that analyses were appropriately performed to include all variables to avoid confounding.

Ethical, Economic, and Social Considerations

The field of pharmacogenetics has ethical considerations as well as social and economic implications beyond simply the development of the science. Patients are usually required to consent to not only the clinical trial but also research into specific genetic analyses and, more significantly, unspecified genetic tests to be used in future pharmacogenetics research. It is likely that patients entering into pharmacogenomics-related trials will not have given consideration to all potential risks and benefits of this additional research. Since there is no likely direct benefit to the patient from the immediate clinical study, this additional risk cannot be offset against potential therapeutic benefit. One potential solution might be to collate all DNA samples from pharmacogenetic studies in genetic databases with pharmaceutical companies paying for access.

Much of the pharmaceutical sector is involved in pharmacogenetics research, from “big pharma” who are interested in developing new drugs with better targets, to the smallest biotech start-ups who provide test kits and supporting technological innovations. Companies feel that such endeavors will provide them with competitive advantage for providing individualized medicines and reduced research and development costs. Not all, however, are persuaded that pharmacogenetics will bring increased profits. Concerns center on the potential for reduced market size or increased segmentation if drugs become licensed for use in specific subgroups of individuals.

There are several significant social consequences of successful pharmacogenetics research. There will be a major change in the way individuals view their health and the taking of medicines. The hope would be that the large numbers of adverse drug reactions would be reduced. There is a possibility that when whole genome sequencing becomes a routine and inexpensive undertaking, a pharmacogenetic profile might be constructed in early life in readiness for future therapeutic need.

AGE-RELATED MACULAR DEGENERATION

Clinical Features

AMD affects the macula, and individuals lose central vision in one or both eyes, affecting activities such as reading and in some cases causing legal blindness. Initially, the disease is characterized by drusen (lipid/protein deposits under the retina), which may progressively accumulate and predispose to the advanced forms of the disease²⁴⁻²⁶: geographic atrophy (“dry” AMD) of the macula and/or neovascular/exudative (“wet”) AMD, characterized by CNV that tends to bleed and result in retinal scarring.

In the United States, 1.75 million people have advanced AMD and several more million have earlier stages of the disease. The prevalence of advanced disease is estimated to be 8% in those older than 75 years.²⁷ As the population is now aging, the prevalence of AMD will increase perhaps by as much as 50% by the year 2020.²⁸

Although geographic atrophy accounts for the majority of cases of advanced disease, neovascular AMD causes most legal blindness. Neovascular AMD is usually rapidly progressive, resulting in loss of acuity and distortion of shapes. Examination is characterized by hemorrhage in the retina and retinal fluid.^{29,30} The sequelae of the neovascular process are retinal scarring and permanently reduced macular visual function. In recent years, fluorescein angiography has been replaced by optical coherence tomography (OCT) as the preferred method for monitoring the progress of treatment with monthly intravitreal anti-VEGF agents.⁶

ETIOLOGY OF AMD: ENVIRONMENTAL RISK FACTORS

Extensive epidemiologic and genetic analyses have led us to the conclusion that like other chronic age-related diseases, AMD results from multiple environmental and genetic factors. AMD is age-related, and tobacco smoking is the most consistently identified environmental risk factor.³¹⁻³³ Studies have also implicated cardiovascular disease,³⁴⁻³⁶ hypertension,^{37,38} high body mass index,³⁹ and low education level.⁴⁰

GENETICS OF AMD

That genetics has a significant etiological role in AMD is now beyond question.^{41,42} Studies to identify causal variants initially concentrated on genome-wide linkage and association analyses.⁴³⁻⁴⁵ A meta-analysis⁴⁶ of these and other results showed reassuring replication of similar chromosomal loci, several of which remain under investigation.⁴⁷⁻⁴⁹ A listing of replicated susceptibility variants is shown in Table 2.

TABLE 2. GENES IMPLICATED IN ANGIOGENESIS OF AGE-RELATED MACULAR DEGENERATION

AMD SUSCEPTIBILITY GENE	ANGIOGENESIS GENE
<i>CFH</i> , complement factor H	<i>VEGFA</i> , vascular endothelial growth factor A
<i>ARMS2</i> , age-related maculopathy susceptibility 2	<i>VEGFR</i> , (<i>FLT1</i>), vascular endothelial growth factor receptor 1
<i>C2</i> , complement factor 2	<i>FGFR1</i> , fibroblast growth factor receptor 1
<i>C3</i> , complement factor 3	<i>FGFR2</i> , fibroblast growth factor receptor 2
<i>CFB</i> , complement factor B	<i>THBS1</i> , thrombospondin-1
<i>CFI</i> , complement factor inhibitor	<i>PF4</i> , platelet factor 4
	<i>KDR</i> , kinase domain receptor
	<i>CTGF</i> , connective tissue growth factor
	<i>ANG1</i> , angiopoietin 1
	<i>ANG2</i> , angiopoietin 2
	<i>TGF</i> , transforming growth factor
	<i>HIF1α</i> , hypoxia inducible factor 1, alpha subunit
	<i>VHL</i> , von Hippel Lindau factor
	<i>CX3CR1</i> , chemokine (C-X3-C motif) receptor 1
	<i>PDGF</i> , platelet derived growth factor
	<i>PI 3-KC A, B, C</i> , phosphoinositide-3-kinase, catalytic
	<i>ICAM-1</i> , intracellular adhesion molecule
	<i>MAPK 1, 2, 3</i> , mitogen activated protein kinase

The first specific replicated genetic variant to be associated with advanced AMD was the SNP rs1061170 (T1277C; Y402H) in the *complement factor H (CFH)* gene.⁵⁰⁻⁵³ Additional SNPs and haplotypes in *CFH*^{54,55} and neighboring genes⁵⁶ have also been associated with drusen formation and advanced AMD.^{53,57} *CFH* is a regulator of complement, dysfunction of which has been linked to retinal pathology.⁵⁸ Recently, SNPs in other complement components have been associated with advanced AMD: *complement factors 2 (C2)*, *B (CFB)*,^{59,60} *3 (C3)*,^{61,62} and *I (CFI)*.⁶³

A major AMD-susceptibility locus has also been identified on chromosome 10q26,^{46,47} a region where linkage disequilibrium has made it difficult to distinguish the causal genetic variant⁶⁴: the SNP, rs10490924 (A69S), is within the gene, *ARM-susceptibility 2 (ARMS2)*.^{65,66} This putative gene has unknown function, and its protein product has been identified in several subcellular compartments^{67,68} or the cytoplasm. The SNP, rs11200638, is located in the promoter of the gene *HTRA1*,^{69,70} a serine protease found in the retina (among other tissues), and the SNP may alter gene expression.⁶⁹ In complete linkage disequilibrium with this SNP is an indel in *ARMS2* that may affect translation of the *ARMS2* protein.⁶⁸ Associations in the genes *APOE*,⁷¹⁻⁷³ *ABCA4*,^{74,75} *CX3CR1*,^{76,77} *PON1*,⁷⁸ *TLR4*,⁷⁹ *ERCC6*,⁸⁰ *ELOVL4*,^{81,82} *VLDLR*,⁸³ *fibulin-5*,⁸⁴ *hemicentin-1*,⁸⁵ *TLR*,⁸⁶ *C1q*,⁸⁷ and *LRP6*⁸³ have also been suggested.

AMD Associations With VEGF, PEDF, Proangiogenic and Antiangiogenic Genes, and Other Pathway Genes

Associations between *VEGFA* and advanced AMD have been examined by a number of investigators. Several studies have suggested that there is an association between selected SNPs in the gene and AMD.^{83,88-92} Other studies have failed to find an association, including recent genome-wide association studies.⁹³ Other genes in the VEGF pathway have not been studied. A couple of small Asian cohorts have examined the association with the *PEDF* gene, but these findings have not been confirmed.⁹⁴⁻⁹⁶

CLINICAL EXPERIENCE WITH RANIBIZUMAB

Dose Regimen

Ranibizumab has been studied in more than 5,000 subjects with neovascular AMD in a number of Phase I, I/II, II, III, and IIIb clinical trials and has been approved for use in the treatment of this condition by the FDA.⁶ Cumulatively, the studies show that approximately 25% of subjects show a significant improvement in vision (defined as gain of ≥ 15 ETDRS letters), 70% maintain or show slight improvement from their acuity at presentation (defined as a gain of ≥ 0 letters), and the remainder lose vision.⁴

The reasons for the variation are not known but are unrelated to conventional clinical descriptors of CNV, including lesion size and angiographic characteristics. In one study (PIER), patients that underwent fixed dosing every 3 months lost vision as compared with monthly treatment. It has been further concluded that OCT is important in monitoring patients with CNV. When re-treatment is guided by the presence of retinal thickening, intraretinal fluid, or subretinal fluid, visual acuity at 3 months is maintained at 12 months.

This data guided the dosing and treatment regimen employed in this study, which adhered as closely as possible to the commonly employed practice of clinical care at the time of the study.

Safety Considerations

Patient inclusion adhered to current safety criteria. Following successful completion of Phase I studies, which showed no significant adverse events, detailed safety data were collected from three randomized, 1- or 2-year follow-up, double-masked, sham- or active-controlled trials. Ranibizumab is contraindicated in patients with active ocular infections and in those with known hypersensitivity to the drug. Serious adverse events related to the injection procedure occur in <0.1% and include endophthalmitis, rhegmatogenous retinal detachment, iatrogenic traumatic cataract, intraocular inflammation, and transient increases in intraocular pressure. Ranibizumab is contraindicated in those with a history of stroke, since it appears to increase the risk for a subsequent stroke.

PHARMACOGENETICS IN AMD

Genetic variants contribute substantially to the etiology of AMD. As a result, there has been interest in examining whether these common SNPs and other candidate genes may play a pharmacogenetic role. Three treatments are currently utilized for the treatment of AMD: Age-Related Eye Disease Study (AREDS) supplementation, anti-VEGF therapy, and photodynamic therapy (PDT). Studies thus far have largely been limited to retrospective analyses.

AREDS Supplements

The AREDS study (a large prospective multicenter randomized trial) found a beneficial effect of zinc and antioxidants (beta carotene, vitamin C, and vitamin E)⁹⁷ in slowing progression of disease as compared with placebo alone. Using this progression data and combining it with genetic analyses of samples from the cohort, it has been possible to suggest that those individuals taking the supplements who had the low-risk genotype in *CFH* experienced less disease progression. Although more studies are needed, this result may have a biological basis, since dysregulation of *CFH* is thought to lead to inflammation, which may be reduced by the action of antioxidant therapy.²¹⁻²⁵ One potential conclusion is that any genetic predisposition to AMD reduces the effectiveness of the supplements that remain commonly used for dry AMD in the United States.⁹⁸

Photodynamic Therapy

PDT has largely been replaced by anti-VEGF therapy, though it still has a role for certain patients with AMD, potentially in combination with other agents⁹⁹⁻¹⁰¹ and in those where other treatments may be contraindicated.³ In the treatment, verteporfin is given systemically and localized to abnormal neovascular vessels in the macula, where it can be photo-activated by a laser.^{102,103} The beneficial effects of PDT were established in several carefully conducted clinical trials, including the Treatment of Age-Related Macular Degeneration With Photodynamic Therapy (TAP), Verteporfin (Visudyne) in Photodynamic Therapy (VIP), and Visudyne in Minimally Classic Choroidal Neovascularization studies.¹⁰⁴

Experience has shown variability in treatment success,¹⁰⁵ leading some observers to begin to hypothesize that effectiveness might be altered by an individual's ability to activate coagulation factors in response to PDT. A number of single gene disorders result in coagulopathies and their prevalence was evaluated in two studies. Patients determined to be PDT responders and nonresponders were genotyped for a number of coagulation factor mutations. The results suggested that those carrying the G185T mutation of *factor XIII-A*, which results in a hyperfibrinolytic state, were more likely not to respond to PDT, whereas those with *factor V* 1691A and *prothrombin* 20210A, both prothrombotic state, did better.¹⁰⁶⁻¹⁰⁸

Anti-VEGF Agents

This treatment has been shown to have significant efficacy and has been the subject of interest as to whether genetics may play a role in outcomes. In a study of 86 patients treated with bevacizumab (Avastin), those with the risk CC genotype in *CFH* had worse visual outcomes than those with other genotypes.¹⁰⁹ This appears to be replicated by a larger study of patients receiving ranibizumab.¹¹⁰ Although these are associations rather than causal findings, these studies introduce the idea that common AMD-susceptibility genes may play a role in determining treatment outcome.

PATHOPHYSIOLOGY OF AMD AND THE IDENTIFICATION OF CANDIDATES GENES

It would be optimal to employ an approach in which all possible genes and genetic variants were included in the pharmacogenetic analysis of ranibizumab in AMD. Unfortunately, there are statistical drawbacks to such an approach (false discovery rates and multiple testing issues). While a genome-wide strategy was employed in this study, a secondary, more focused evaluation of genes considered "good biological candidates" likely to harbor variants that confer susceptibility was undertaken. The purpose of this section is to provide a pertinent overview of such a list. Those genes already implicated in AMD pathogenesis (see above) were also included.

Vision is damaged in AMD because photoreceptors in the macula become dysfunctional and are ultimately lost. The hallmark of the condition are drusen, extracellular lipid/protein deposits that develops over time between the retinal pigment epithelium (RPE) and Bruch's membrane. Drusen are seen in non-AMD aged retinas, but when they accumulate to a certain degree are considered the earliest feature of AMD. The area occupied by the drusen, their size and number, together with adjacent retinal pigmentary abnormalities, directly correlate with progression to vision loss from the two advanced forms of AMD: geographic atrophy and CNV.²⁹ Geographic atrophy is characterized by gradually increasing areas of photoreceptor, RPE, and choroidal atrophy. CNV is characterized by the growth of new blood vessels frequently derived from the choroidal vasculature, into the retina, which then leak (producing edema), bleed, and gliose to form a macular scar.

A considerable amount is known of the pathophysiological processes that underlie AMD. Although the primary initiating defect is

still to be identified, current theories support a number of interacting processes, namely, inflammation in the retina,^{58,111,112} mitochondrial dysfunction,^{113,114} oxidative stress,¹¹⁵⁻¹¹⁷ deficient choroidal blood flow in the macula,^{118,119} an abnormal Bruch's membrane,^{120,121} metabolic dysfunction of the RPE,¹²² retinal defects,^{75,123-127} and chronic infection(s).^{128,129}

PATHOGENESIS OF CHOROIDAL NEOVASCULARIZATION

Angiogenesis

Angiogenesis describes the formation of new capillaries from preexisting vessels. It is one of the most important biological processes involved in, for example, embryogenesis and wound repair.¹³⁰ Abnormal angiogenesis, neovascularization, is usually harmful, and a sophisticated system of interrelated and interacting proteins has evolved to manage this. Angiogenesis is controlled by interlinked pathways of genes, transcription factors, secreted factors, receptors, and second messengers that exert either a proangiogenic or antiangiogenic influence in a tissue-specific fashion. It seems the "angiogenic switch" that initiates the process depends upon the balance between these factors.

Key Cellular and Molecular Events in Angiogenesis

The inner wall of blood vessels comprises a single layer of endothelial cells. Angiogenesis begins with vessel dilation and vessel wall permeability. The surrounding extracellular matrix is then degraded accompanied by endothelial cell proliferation and migration into adjacent tissues. The neovascular cells then organize into tubes.

Choroidal Neovascularization in AMD

It is known that choroidal capillaries proliferate and penetrate Bruch's membrane to form a fibrovascular "membrane" external to the RPE, which may then extend through the RPE into the subretinal space. In some instances, connections are made with the retinal circulation or indeed develop from the retina.¹³¹ The new blood vessels show increased permeability, which can lead to accumulation of serous fluid or blood under the RPE or between the RPE and the sensory retina. The process is accompanied by inflammation, hemorrhage, and progressive fibrosis with resultant significant loss of vision. Involution of the new vessels is accompanied by fibrous metaplasia and organization that can result in an elevated subretinal mass called a disciform scar (disciform macular degeneration).¹³²

The precise sequence of molecular signals that precede CNV is unknown. However, key to the immediate development and maintenance of CNV, and possibly reflecting a final common pathway for disease development, is the proangiogenic factor VEGF. Numerous online databases and resources that provide excellent visual summaries of angiogenesis are available (for example, <http://www.ncbi.nlm.nih.gov/images>) and are therefore not replicated here. A list of genes/proteins implicated in angiogenesis is shown in Table 2.

Vascular Endothelial Growth Factor

VEGF¹³³⁻¹³⁵ is now considered the major factor mediating CNV in exudative AMD. VEGF is a constitutively produced secreted protein.^{136,137} *VEGF* gene expression is controlled in large part by hypoxia-inducible factor (HIF) complex. If tissue oxygen saturation is normal, the regulatory α -subunit of the protein is efficiently degraded by HIF prolyl hydroxylase (PHD) enzymes.^{138,139} However, if oxygen concentrations drop, PHDs are inhibited, HIF- α is stabilized, and its levels rise,¹⁴⁰ increasing its binding to a genomic DNA sequence, the hypoxia response element (HRE).¹⁴¹ The HRE up-regulates a number of other genes, including *plasminogen activator inhibitor-1* and *transforming growth factor- β 3*. Recently, a new regulator of VEGF activity has been identified.¹⁴²

The proangiogenic activity of VEGF revolves around its ability to promote endothelial cell proliferation and survival. VEGF is also proinflammatory, increases vascular permeability (principally venules and capillaries),¹⁴³ and orchestrates the activity of a number of downstream factors. In the retina, VEGFA appears most important.¹⁴⁴ VEGFA is alternately spliced into four isoforms,¹⁴⁵ which are coupled to two receptors, VEGFR-1 (Flt-1) and VEGFR-2 (kinase insert domain-containing receptor, KDR).¹⁴⁶ Most of the angiogenic activity appears to be mediated through VEGFR-2.¹⁴⁷ It is important to note that increased VEGF levels alone do not appear to be sufficient to encourage CNV. Instead, an accompanying defect in the Bruch's membrane or defective RPE function is required.¹⁴⁸

Angiopoietins

Angiopoietin-1 (ang-1) acts through the Tie2 receptor system with ang-2 to stabilize developing neovascularization in the presence of VEGF.¹⁴⁹⁻¹⁵² Interestingly, ang-1 appears to antagonize the proinflammatory activity of VEGF.¹⁵³

Pigment Epithelium-Derived Factor (PEDF) and Thrombospondin-1 (TSP-1)

Studies indicate a largely inverse relationship between PEDF and VEGF levels. PEDF appears to be the major inhibitor of VEGF-mediated endothelial proliferation,¹⁵⁴ and its down-regulation appears to encourage retinal neovascularization.¹⁵⁵ Its major physiological role appears to be in maintaining the avascular nature of such structures as the cornea and vitreous.^{156,157}

In exudative AMD, evidence suggests that vitreous PEDF levels are lower than in normal patients.¹⁵⁸ PEDF levels appear to be regulated by VEGF through feedback mechanisms at the protein and possibly the genomic level. Interestingly, the antiangiogenic activity of PEDF seems to be exerted only at the early stage of neovascularization.^{156,159,160} Paradoxically, increasing levels later in the process appear to augment neovascularization.¹⁶¹ TSP-1, another antiangiogenic factor,^{159,162} acts similarly to PEDF to encourage endothelial cell apoptosis.

Fibroblast Growth Factor, Connective Tissue Growth Factor, and Transforming Growth Factor

Basic fibroblast growth factor (bFGF), a member of the heparin-binding growth factor family, encourages endothelial cell survival and therefore persistence of neovascularization.¹⁶³ During CNV, bFGF is produced by the RPE and choroid.¹⁶⁴ bFGF works via receptors FGFR1 and 2, which in their turn are coupled to a number of downstream intermediates.¹⁶⁵ Connective tissue growth factor (CTGF) and transforming growth factor are all secreted by RPE cells in CNV, and they act on fibroblasts to increase VEGF expression.^{166,167}

Extracellular Matrix and Cell-Adhesion Molecules

A requirement for the development of new capillary vessels is the remodeling of the extracellular matrix.¹⁶⁸ For example, without appropriate adhesion to the extracellular matrix, endothelial cells undergo apoptosis. A large number of investigations have identified players such as matrix metalloproteinases (MMPs) and their inhibitors, complement C3b and C5-9 complexes,¹⁶⁹ I-CAM, integrins, and leukocyte adhesion molecules,¹⁷⁰ vitronectin and laminin-1.^{171,172}

Chemokines, Inflammation, Clotting

The chemokines are secreted inflammatory cytokines that recruit macrophages and other leukocytes, which in turn secrete such factors as VEGF.¹⁷³ One such chemotactic protein is MCP-1, secreted by the RPE. Mice deficient in MCP-1 have a predilection for developing CNV.^{174,175} Microglia also become activated as part of the pathology in CNV, releasing, among other factors, CX3CR1. In animal models this protein accelerates choroidal neovascular processes.⁷⁶ Platelet factor-4 (PF-4) is a platelet component secreted during activation which may interfere receptor binding of bFGF and VEGF to their receptors.¹⁷⁶ The angiostatic proteins angiostatin and endostatin are cleavage products of plasminogen, collagen XVIII, and MMP-2. They act through different mechanisms to PEDF and TSP-1 and exhibit chemotactic activity as well.¹⁷⁷

Nitric Oxide

In AMD, acute rises in VEGF produce increases in inducible nitric oxide species (NOS) through activation of tyrosine and PI-3K kinases. Chronic VEGF exposure increases expression of nitric oxide synthetase. Increased NOS results in vascular permeability and angiogenesis.¹⁷⁸

METHODS

INSTITUTIONAL REVIEW BOARD/ETHICS COMMITTEE APPROVAL AND TRIAL REGISTRATION

This study was approved by the institutional review boards of the participating clinical sites. Prior to commencement, the study was submitted and approved as an Investigational New Drug application to the FDA (FDA IND 100 451) and registered with ClinicalTrials.gov (Identifier: NCT00469352).

Data accumulation conformed to all Federal and State laws and was compliant with HIPAA guidelines (<http://www.hhs.gov/ocr/hipaa/privacy.html>). The conduct of this study was overseen by a Data Safety Monitoring Committee.

CLINICAL TRIAL DESIGN SUMMARY AND DATA MONITORING

The Lucentis Genotype Study (LGS) was a two-site prospective open-label observational study of patients newly diagnosed with exudative or neovascular AMD undergoing intravitreal ranibizumab therapy. Participants were enrolled consecutively. There were two participating sites. Participants were enrolled exclusively in this study and were not part of any other interventional study.

Blood was drawn and DNA extracted for genotyping. The primary (change in visual acuity) and secondary outcome measures were analyzed with genotype to evaluate for potential pharmacogenetic interactions while controlling for demographic, phenotypic, and environmental factors.

Monthly visit information was documented in the patient's chart and on custom-designed case report forms. The case report forms were stored in secure, locked offices in compliance with institutional regulations. The study was conducted as shown in Table 3. Additional testing was allowed at the discretion of the treating physician. Subjects who withdrew from the study prior to completion were asked to return for an early termination evaluation 30 days (± 7 days) following the last injection/study visit to monitor all adverse events (serious and nonserious). The schedule of assessments for early termination was the same as that for the final visit.

All information collected in this study was fully monitored by an independent data monitor who was masked to the information. Data were doubled-entered onto custom Excel spreadsheets. Reanalysis of 10% of all data entries showed a 0% error rate.

INCLUSION AND EXCLUSION CRITERIA

Patients (>55 years of age) enrolled had never received treatment of any type (laser therapy, PDT, intravitreal therapy) for neovascular AMD in the study eye. Visual acuity in the study eye had to be between 20/30 and 20/320 (ETDRS). All AMD-related CNV lesion types were included. Pigment epithelial detachments without evidence of CNV were not included in this study.

Patients were excluded if the study eye had CNV from causes other than AMD, concomitant non-AMD-related maculopathy, or other visual pathology resulting in vision loss. Individuals were also excluded if ranibizumab was contraindicated or ranibizumab/bevacizumab was being used in the fellow eye at the time of enrollment. If treatment for neovascular AMD became necessary in the fellow eye *during* the study, this was not considered a reason for termination from the study and Lucentis was given.

**TABLE 3. CLINICAL ASSESSMENT PROTOCOL AND TREATMENT REGIMEN
IN THE LUCENTIS GENOTYPE STUDY**

ASSESSMENTS	VISIT (MONTH)												
	SCREEN	1	2	3	4	5	6	7	8	9	10	11	12
Informed consent	X												
Demographic data	X												
Physical examination and medical history	X												
Vital signs*	X	X	X	X	X	X	X	X	X	X	X	X	X
Height and weight	X												
Environmental risk factor questionnaire	X												
Blood taken for genotyping	X												
EDTRS protocol visual acuity and refraction	X	X	X	X	X	X	X	X	X	X	X	X	X
Full dilated slit-lamp examination	X	X	X	X	X	X	X	X	X	X	X	X	X
Optical coherence tomography	X	X	X	X	X	X	X	X	X	X	X	X	X
Fluorescein angiography	X			X			X			X			X
Ranibizumab treatment	X	X	X†	X†	X†	X†	X†	X†	X†	X†	X†	X†	X†
SAE monitoring	X	X	X	X	X	X	X	X	X	X	X	X	X

SAE, serious adverse event.

*Pulse, blood pressure, temporal and respiration rate.

†As determined by re-treatment criteria.

RANIBIZUMAB TREATMENT REGIMEN

Table 3 shows the treatment and follow-up schedule. Participants received multiple open-label intravitreal injections of 0.5 mg ranibizumab administered according to the following re-treatment criteria, which reflected on-label recommendations and “standard of care” at the time of the study.

Lucentis Re-treatment Criteria

Criteria were as follows: presence of intraretinal fluid, subretinal fluid, or retinal thickening on OCT; presence of new subretinal hemorrhage; active, new subretinal choroidal neovascular membrane documented on fluorescein angiography; visual acuity ≥ 5 ETDRS letter reduction in best-corrected refracted visual acuity as compared with prior visit.

Concomitant and Excluded Therapies

Patients continued oral AREDS supplements as well as all other medications and standard treatments prescribed by their physician(s). Patients requiring therapy for AMD other than ranibizumab during the study period continued in the study but were not analyzed in the final data set. Patients that developed disease in the fellow eye received ranibizumab.

DATA COLLECTION AND MASKING

This was an open-label study. However, treating physicians and study coordinators were masked to genotype throughout the study. At each visit, visual acuity testers and photographers were masked as to whether intravitreal therapy would be given.

CLINICAL EVALUATION

Phenotyping

A full dilated ophthalmic examination was performed and documented at each visit by the treating retinal specialist investigator. Clinical appearances of both maculae were detailed.

Color fundus photography and fluorescein angiography was performed in standard fashion. Appearances of the posterior pole of both eyes were documented. Choroidal neovascularization was categorized as follows: 100% classic, predominantly classic ($>50\%$

classic), minimally classic (<50% classic), or occult.

Vertical and horizontal line scans and macular volume scans were obtained using Stratus 3 OCT. Central macular thickness, macular volume, and the presence of retinal fluid or thickening was documented. The spectral domain OCT was not available at the commencement of this study.

AMD status of the fellow eye was collected from fundus photographs and clinical examination using a modified AREDS grading system as follows: category 1 (no AMD): no drusen or drusen less than 63 μm diameter, and no pigment changes in either eye; category 2: mild to moderate drusen consisting of drusen of any size, but <393,744 μm^2 in total area within 1500 μm from the fovea, with or without pigment changes; category 3: extensive large drusen (>125 μm in minimum diameter) >393,744 μm^2 in area (a minimum of approximately 20 large drusen) within 1500 μm of the fovea, with or without pigment changes and no evidence of advanced AMD; category 4 (advanced AMD): presence in one or both eyes of advanced macular degeneration (CNV or geographic atrophy).

Systemic Evaluation

At each visit, pulse and blood pressure were recorded.

Environmental Factors and Personal Characteristics

Patients were administered a standardized validated questionnaire at the initial visit, which included documentation of (a) medical status, including cardiovascular assessments; (b) smoking history; (c) body mass index; (d) education level; (e) diet; (f) use of nutritional supplements, including the AREDS formulation; (g) medicinal use, including statins and nonsteroidal anti-inflammatory drugs; (h) cardiovascular history; (i) family history of AMD; (j) past ocular history, including cataract and glaucoma status. Each was reviewed at every scheduled and nonscheduled visit and updated as necessary.

GENOTYPING

At the enrollment visit, consented subjects provided a 20-mL venous blood sample for genetic analysis obtained from a peripheral vein by a trained veneselector. DNA was extracted using standard methods. Genotyping was undertaken using Illumina's Human 660W-Quad high-density SNP chip (Illumina, San Diego, California). This chip interrogates ~550,000 SNP variants per sample.

OUTCOME MEASURES

The primary outcome measure was change in best-corrected ETDRS letter score in the treated eye at the primary end point of 12 months.

Secondary end points were as follows:

- (a) Change in ETDRS letter score at 6 months;
- (b) Number of injections received at 12 months;
- (c) Change in central macular thickness (microns) at 6 and 12 months;
- (d) Angiographic evidence of persistent leakage at 6 and 12 months.

Additional parameters evaluated were:

- (a) Presenting visual acuity (best-corrected ETDRS letter score);
- (b) Fluorescein angiographic lesion characteristics at baseline;
- (c) Baseline OCT central macular thickness (microns);
- (d) High-sensitivity C-reactive protein (CRP, mg/L) level at baseline.

STATISTICAL ANALYSIS

Quality Control of Genetic Data

Missing data. Analyses were based on available cases, without imputation for missing values.

Interim analyses. No interim analyses were performed. Reports of adverse events were reviewed and summarized periodically while the study was ongoing for the purposes of Data Safety Monitoring review to ensure the safety of subjects.

Estimation of allele frequencies and elimination of problematic SNPs. Estimates of allele frequencies and their standard errors were obtained, and the Hardy-Weinberg equilibrium was calculated. Genome-wide association SNPs that failed quality control were dropped from further analysis for the following reasons: too many failed calls or mendelian errors, deviation from Hardy-Weinberg equilibrium ($P < 0.001$), and too few copy numbers ($n < 5$).

Imputation of missing genotypes. If it was necessary to impute missing genotypes to avoid recalculating the null distribution for each SNP, the likelihood-based imputation procedures MERLIN¹⁷⁹ and BEAGLECALL¹⁸⁰ were employed.

Correction for hidden stratification. We included a correction for hidden stratification that uses principal components of the observed SNP variation.¹⁸¹

SNPs Chosen for Analysis

All SNPs meeting the quality standards above with a minor allele frequency of 0.05 or greater were included in the analyses, which were conducted in three phases. The following databases and bioinformatics resources were utilized to select and evaluate SNPs:

Genome Variation Server build 131beta

<http://gvs.gs.washington.edu/GVS131>

GenGen Genetic Genome Analysis of Complex Data

<http://www.openbioinformatics.org/genen/index.html>

Genecards	http://www.genecards.org
HapMap	http://hapmap.ncbi.nlm.nih.gov/
NCBI Entrez Gene	http://www.ncbi.nlm.nih.gov/gene
NCBI Entrez SNP	http://www.ncbi.nlm.nih.gov/SNP

Genotype analyses were performed using HelixTree by Golden Helix (<http://www.goldenhelix.com>), including the Whole Genome and Regression modules. SNP imputation was aided by BEAGLECALL.¹⁸⁰

Phase I: Validation Analysis

The chi-square test was used to identify differences between the personal characteristics, environmental risk factors, and AMD-susceptibility variants (*CFH* rs1061170⁵⁰⁻⁵² and *ARMS2* rs10490924^{46,47,64-70,182}) in participants in the study and individuals in a comparable population: those with CNV in the preexisting Casey Eye Institute sporadic case-control (CEIMDC) population. Briefly, the CEIMDC cohort was ascertained over an approximately 10-year period of patients presenting to Casey Eye Institute Retina Service with advanced AMD, either geographic atrophy or CNV.

Phase II: Treatment Response Analysis Among Candidate Genes

The algorithm described in the “Introduction” section was used to construct a candidate gene list comprising known AMD-susceptibility genes and genes involved in the control of angiogenesis. SNPs within each coding region were identified (including untranslated regions and ± 1000 bases from transcription initiation and termination).

Regression analysis assuming an additive model for each SNP was performed for all end points. For those variables that had only two levels, we performed logistic regression; for those phenotypes that had more than two levels, we assumed they were continuous and performed linear regression analysis.

Analyses were conditioned upon environmental risk factor (smoking, body mass index, education level) and personal characteristics (age and gender). We considered the possibility that the secondary outcome factors are likely very interrelated, and therefore pairwise correlation between these factors was determined before inclusion in our model. If two factors are highly correlated, the one that has the most clinical relevance was included in the analysis. Forward stepwise logistic regression was then used to determine which of the remaining secondary outcome factors significantly predicted the primary outcome. Multiple testing was approached conservatively with a Bonferroni correction (assuming an $\alpha=0.05$), $P=0.05/\text{number of SNPs included in the analysis}$. This correction is considerably more conservative than probably necessary because of the linkage disequilibrium that exists between many SNPs.

Phase III: Genome-Wide Treatment Response Analyses

The genetic contribution to each treatment response end point was then determined on a genome-wide basis. To reduce the number of SNPs included, pairwise linkage disequilibrium was calculated between SNP pairs. Where a SNP pair was correlated ($r^2>0.80$), only one SNP was included in the regression analysis. Regression analysis was then performed for each end point measure as described in Phase II. The empirical significance level for genome-wide association studies of $P<10^{-7}$ was applied.¹⁸³

Phase IV: Associations With Baseline Characteristics

Regression analysis was used to determine the genetic contribution to baseline characteristics on both a candidate gene and genome-wide basis. The factors examined were presenting best-corrected ETDRS visual acuity, baseline OCT central macular thickness, CNV lesion characteristics, and baseline high-sensitivity CRP level.

RESULTS

STUDY PARTICIPANTS

A total of 65 individuals met the inclusion/exclusion criteria and were enrolled in the study. Sixty-four patients completed the study (primary end point: 12 months). One participant died of a cause unrelated to the study. Enrollment took almost 1 year due to the limited number of treatment-naïve, new-onset neovascular AMD patients presenting to the participant sites, together with other studies actively enrolling neovascular AMD patients.

All participants had new-onset neovascular AMD in the study eye. None of the study eyes had previously received treatment (thermal laser, PDT, intravitreal therapy) for neovascular AMD. In each case, vision loss was determined by the investigators, at presentation, to have occurred as a result of neovascular AMD, and no other etiology was evident. Only one eye per patient was enrolled in the study.

The fluorescein angiographic lesion characteristics of study eyes were as follows: occult without classic (21, 32%), minimally classic (21, 32%), predominantly classic (19, 29%), and classic (4, 7%) CNV. At the end of the study, 38% of individuals had no angiographic or OCT evidence of persistent leakage or active CNV.

Independent of genotype, individuals in the study presented with a mean best-corrected visual acuity of 60.23 ETDRS letters (SD ± 15.4). During the course of the study, visual acuity improved by 3.84 (± 9.02) letters at 6 months and by 5.8 letters (± 9.6) at 12 months. Mean baseline central macular thickness on OCT was 329.74 (± 89.74) μm at baseline and decreased by a mean of 107.62 (± 115.54) μm at 6 months and 92.26 (± 140.96) μm at 12 months. The mean number of injections required was 6.19 (± 3.26). CRP level at baseline was 0.31 mg/L (± 0.27).

STUDY INTERVENTION AND ADVERSE EVENTS

LGS participants received a median number of six injections during the study (not including the initial injection at baseline). No injections were declined. No other therapies were required, and there were no reports from treating physicians of deviations from the re-treatment criteria.

Since patients were receiving on-label therapy with ranibizumab, *strictly*, the “intervention” in this study was venepuncture. There were no adverse events relating to this procedure. One patient died during the study period of a cause unrelated to his ocular status or treatment. No other adverse events (Grade 2, NCI grading system or greater) were recorded. Other mild adverse events were considered unrelated to study medication or the protocol.

GENOTYPING AND QUALITY CONTROL

The Illumina (San Diego, California) 660-Quad SNP chip genotyped >550,000 SNPs in 44 individuals, and of these, 66,405 failed quality control and were excluded from further analysis (477 were out of Hardy-Weinberg equilibrium while the rest had either a minor allele frequency <0.05 or had too many failed calls).

Analysis was conducted in four phases. In Phase I, differences between the enrolled population and a similar population were investigated to determine how representative the LGS participants were of newly diagnosed neovascular AMD in general. In Phase II, a candidate gene approach was utilized to reduce the correction needed for multiple testing. This included the primary end point analysis of change in visual acuity at the end of the study (12 months). In Phase III, all SNPs in the genome scan were included. Phase IV examined all SNPs, both candidates and genome-wide association, as to whether they influenced baseline characteristics of participants.

PHASE I: VALIDATION ANALYSIS

Table 4 shows summary demographic, environmental exposure, and genetic risk factor data for the individuals in the LGS. When compared with a similar hospital, academic practice population (the CEI sporadic cases with CNV), the LGS participants were similar in age (marginally older, $P=0.04$) and had achieved a higher education status. In all other respects, the LGS patients were very comparable. Genotypic comparisons between LGS and CEI populations were limited to *CFH* and *ARMS2* because the minor allele frequencies in these two genes are high enough for meaningful evaluation in the small LGS cohort. There were no significant differences between allele frequencies for these two SNPs.

TABLE 4. SUMMARY DATA FOR DEMOGRAPHIC AND PERSONAL FACTORS FOR THE INDIVIDUALS IN THE LUCENTIS GENOTYPE STUDY (LGS) AS COMPARED WITH THE CASEY EYE INSTITUTE SPORADIC CASES WITH CHOROIDAL NEOVASCULARIZATION (CEIMDC CNV)

DATA	LGS	CEIMDC CNV	P VALUE*
Age	81.27	79.20	0.04
Gender			
Female	66%	63%	
Male	34%	37%	0.79
Ethnicity	100%	100%	1.00
Caucasian	100%	100%	1.00
Non-Caucasian	0%	0%	
Body mass index	26.62	26.45	0.81
Smoking			
Current	55%		
Former	55%		
Never	40%		0.76
AREDS supplements			
No	44%		
Yes	56%		0.82

TABLE 4 (continued). SUMMARY DATA FOR DEMOGRAPHIC AND PERSONAL FACTORS FOR THE INDIVIDUALS IN THE LUCENTIS GENOTYPE STUDY (LGS) AS COMPARED WITH THE CASEY EYE INSTITUTE SPORADIC CASES WITH CHOROIDAL NEOVASCULARIZATION (CEIMDC CNV)

DATA		LGS	CEIMDC CNV	P VALUE*
High school graduate				
No		32%		
Yes		68%		7.81 x10⁻⁵
Family history				
No		57%		
Yes		43%		0.64
Genetics				
CFH rs1061170	C	0.58	0.57	0.95
	T	0.42	0.43	
ARMS2 rs10490924	G	0.40	0.42	0.92
	T	0.60	0.58	

AREDS, Age-Related Eye Disease Study.

***Significant (chi-square test) differences are shown in bold.**

PHASE II: TREATMENT RESPONSE ANALYSIS AMONG CANDIDATE GENES

The following end points were investigated: best-corrected ETDRS letter score in the treated eye at 6 and 12 months, change in central macular thickness at 6 and 12 months, angiographic evidence of persistent leakage at 6 and 12 months, and number of injections received by end of study. Logistic or linear regression, as appropriate, was used to evaluate associations between these measures and a list of candidate genes considered likely to play a role in angiogenesis. The candidate gene list (Table 2) comprised known AMD-susceptibility genes and genes involved in the control of angiogenesis. The full list of SNPs investigated is shown in the Appendix.

The primary end point for the study was best-corrected ETDRS letter score in the treated eye at 12 months. Two genes showed statistically significant association (after correction for multiple testing) with change in visual acuity, *CFH* and *CTGF* (Table 5). Having the minor allele (A) in rs1065489 (*CFH*) and rs9399005 (*CTGF*) conferred a worse visual outcome compared with the ancestral allele. At 6 months, *CFH* is also significantly associated (Table 6), suggesting a consistent influence on visual improvement of variants in this gene.

TABLE 5. CANDIDATE GENE ANALYSIS: DIFFERENCE IN BEST-CORRECTED VISUAL ACUITY AT 12 MONTHS

GENE	SNP	CHR	POSITION	REGRESSION P VALUE	ALLELE*	MEAN†	SE
<i>CFH</i>	rs1065489	1	194976397	0.0417	AA	-19.00	1.00
					AC	-0.40	1.22
					CC	3.39	0.35
<i>CTGF</i>	rs9399005	6	132310657	0.0294	AA	-8.00	0.10
					AG	-2.88	0.58
					GG	6.33	0.47

Chr, chromosome on which SNP is located; SE, standard error; SNP, single-nucleotide polymorphism.

***Minor alleles are shown in bold.**

†Mean change in ETDRS letters.

TABLE 6. CANDIDATE GENE ANALYSIS: DIFFERENCE IN BEST-CORRECTED VISUAL ACUITY AT 6 MONTHS

GENE	SNP	CHR	POSITION	REGRESSION P VALUE	ALLELE*	MEAN†	SE
<i>CFH</i>	rs3753394	1	194887540	0.0209	AA	-7.00	1.76
					AG	1.78	0.77
					GG	5.71	0.40
<i>FLT1</i>	rs9319428	13	27871621	0.0274	AA	-0.33	2.69
					AG	-0.06	0.58
					GG	7.19	0.49
<i>C3</i>	rs1389623	19	6635197	0.0493	AA	Not observed	
					AG	11.20	1.68
					GG	2.45	0.29

Chr, chromosome on which SNP is located; SE, standard error; SNP, single-nucleotide polymorphism.

***Minor alleles are shown in bold.**

†Mean change in ETDRS letters.

When OCT central macular thickness is examined in the same way, different candidate genes appear significant (Table 7). The results show that the minor allele of the same SNP in the gene for *complement factor 3* (rs2230205) is associated with greater reduction in retinal thickness at both 6 (Table 8) and 12 months. SNPs in two other genes, *thombospondin-1* (*THBS1*) and *fibroblast growth factor receptor-2* (*FGFR2*), are also associated with improved treatment response to ranibizumab therapy. A SNP in *FGFR2* is also associated with persistent leakage on fluorescein angiography at 12 months (Table 9), underlining the potential role of this gene in treatment response. Other genes are also noted to be associated, including SNPs in *FLT1* (Tables 9 and 10).

TABLE 7. CANDIDATE GENE ANALYSIS: CHANGE IN CENTRAL MACULAR THICKNESS AT 12 MONTHS

GENE	SNP	CHR	POSITION	REGRESSION P VALUE	ALLELE*	MEAN†	SE
<i>C3</i> ¹⁹⁶	rs2230205	19	6660704	0.0171	AA	-232.00	NA
					AG	-204.83	16.88
					GG	-57.86	5.01
<i>THBS1</i>	rs1478604	15	37660613	0.0460	GG	-205.50	46.32
					AG	-125.29	7.13
					AA	-41.42	9.08

Chr, chromosome on which SNP is located; SE, standard error; SNP, single-nucleotide polymorphism.

***Minor alleles are shown in bold.**

†Mean change in central macular thickness (microns) as compared with baseline.

TABLE 8. CANDIDATE GENE ANALYSIS: CHANGE IN CENTRAL MACULAR THICKNESS AT 6 MONTHS

GENE	SNP	CHR	POSITION	REGRESSION P VALUE	ALLELE*	MEAN†	SE
<i>C3</i> ¹⁹⁶	rs2230205	19	6660704	0.0056	AA	-292.00	12.23
					AG	-196.50	16.61
					GG	-80.72	3.84
<i>FGFR2</i> ²⁰⁹	rs1047100	10	123288148	0.0439	AA	Not observed	
					AG	-147.94	7.34
					GG	-68.26	5.45

Chr, chromosome on which SNP is located; SE, standard error; SNP, single-nucleotide polymorphism.

*Minor alleles are shown in bold.

†Mean change in central macular thickness (microns) as compared with baseline.

TABLE 9. CANDIDATE GENE ANALYSIS: FLUORESCIN ANGIOGRAPHIC EVIDENCE OF PERSISTENT LEAKAGE FROM CHOROIDDAL NEOVASCULARIZATION AT 12 MONTHS

GENE	SNP	CHR	POSITION	REGRESSION P VALUE	ALLELE*	MEAN	SE
<i>FLT1</i>	rs9319425	13	27790985	0.0216	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
<i>FLT1</i>	rs622227	13	27937214	0.0222	GG	NA	NA
					AG	NA	NA
					AA	NA	NA
<i>FLT1</i>	rs2387632	13	27814343	0.0473	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
<i>ICAMI</i> ²¹⁰	rs1799969	19	10255792	0.0249	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
<i>FGFR2</i>	rs2912762	10	123266280	0.0380	AA	NA	NA
					AG	NA	NA
					GG	NA	NA

Chr, chromosome on which SNP is located; NA, not applicable to dichotomous data (yes/no); SE, standard error; SNP, single-nucleotide polymorphism.

*Minor alleles are shown in bold.

TABLE 10. CANDIDATE GENE ANALYSIS: FLUORESCIN ANGIOGRAPHIC EVIDENCE OF PERSISTENT LEAKAGE FROM CHOROIDAL NEOVASCULARIZATION AT 6 MONTHS

GENE	SNP	CHR	POSITION	REGRESSION P VALUE	ALLELE*	MEAN	SE
<i>VEGFA</i>	rs3025033	6	43859053	0.0363	AA	NA	
					AG		
					GG		
<i>FLT1</i>	rs7995976	13	27839060	0.0444	AA		
					AC		
					CC		

Chr, chromosome on which SNP is located; NA, not applicable to dichotomous data (yes/no); SE, standard error; SNP, single-nucleotide polymorphism.

***Minor alleles are shown in bold.**

Several SNPs in three genes (*CFH*, *VEGFA*, and *FLT1*) are strongly associated with number of injections received during the study (Table 11). This parameter was measured only at the primary end point of 1 year. In the case of *VEGFA* and *FLT1*, possessing the minor allele of each SNP resulted in the need for fewer injections. By contrast, those with the minor allele in the *CFH* gene needed more injections.

TABLE 11. CANDIDATE GENE ANALYSIS: NUMBER OF MONTHLY RANIBIZUMAB INTRAVITREAL INJECTIONS OVER 12 MONTHS

GENE	SNP	CHR	POSITION	REGRESSION P VALUE	ALLELE*	MEAN†	SE
<i>FLT1</i>	rs622227	13	27937214		GG	Not observed	
					AG	3.64	0.20
					AA	6.83	0.13
<i>FLT1</i>	rs10507386	13	27926554	0.0486	AA	Not observed	
					AG	3.88	0.30
					GG	6.41	0.12
<i>FLT1</i>	rs615529	13	27944327	0.0486	GG	Not observed	
					AG	3.88	0.30
					AA	6.41	0.12
<i>CFH</i> ^{53,197}	rs3766404	1	194918455	0.0091	GG	Not observed	
					AG	9.20	0.62
					AA	5.27	0.10

TABLE 11 (continued). CANDIDATE GENE ANALYSIS: NUMBER OF MONTHLY RANIBIZUMAB INTRAVITREAL INJECTIONS OVER 12 MONTHS

GENE	SNP	CHR	POSITION	REGRESSION P VALUE	ALLELE*	MEAN†	SE
<i>VEGFA</i>	rs833068	6	43850505	0.0456	AA	2.67	0.29
					AG	6.57	0.24
					GG	6.40	0.19
<i>VEGFA</i> ⁹¹	rs833069	6	43850557	0.0456	GG	2.67	0.29
					AG	6.57	0.24
					AA	6.40	0.19

Chr, chromosome on which SNP is located; SE, standard error; SNP, single-nucleotide polymorphism.

*Minor alleles are shown in bold.

†Mean number of injections at end of study.

TABLE 12. GENOME-WIDE ASSOCIATION ANALYSIS: SINGLE-NUCLEOTIDE POLYMORPHISMS ACHIEVING GENOME-WIDE SIGNIFICANCE LEVELS AFTER BONFERRONI CORRECTION FOR MULTIPLE TESTING

MARKER	CHR	GENE*	UNCORRECTED REGRESSION P VALUE	P VALUE AFTER BONFERRONI CORRECTION	END POINT MEASURE	GENOTYPE	MEAN	SE
rs13421506	2	<i>Near LPIN1</i>	8.37×10^{-9}	0.0041	CRP	AA	Not observed	
						AC	1.03	0.05
						CC	0.25	0.01
rs2231153	4	<i>ABCG2</i>	8.37×10^{-9}	0.0041	CRP	GG	Not observed	
						AG	1.03	0.05
						AA	0.25	0.01
rs2725267	4	<i>ABCG2</i>	1.33×10^{-8}	0.0065	CRP	GG	Not observed	
						AG	1.03	0.05
						AA	0.26	0.01
rs17384909	10	<i>Near ZNF518A</i>	1.60×10^{-8}	0.0078	CRP	AA	Not observed	
						AG	1.03	0.05
						GG	0.25	0.01
rs9675979	18	<i>Near CCDC102B</i>	3.76×10^{-8}	0.0183	Baseline VA	AA	Not observed	
						AG	40.00	1.22
						GG	67.62	0.38

TABLE 12 (continued). GENOME-WIDE ASSOCIATION ANALYSIS: SINGLE-NUCLEOTIDE POLYMORPHISMS ACHIEVING GENOME-WIDE SIGNIFICANCE LEVELS AFTER BONFERRONI CORRECTION FOR MULTIPLE TESTING

MARKER	CHR	GENE*	UNCORRECTED REGRESSION P VALUE	P VALUE AFTER BONFERRONI CORRECTION	END POINT MEASURE	GENOTYPE	MEAN	SE
rs2298515	21	<i>Near NCRNA00158</i>	3.85×10^{-8}	0.0187	Diff CMT @12	AA	481.00	10.14
						AC	76.50	14.17
						CC	-126.65	3.14

Chr, chromosome on which SNP is located; CRP, C-reactive protein; Diff CMT @ 12, change in central macular thickness between baseline and 12 months; SE, standard error; VA, best-corrected visual acuity.

*Genes expressed in retina are shown in bold. "Near" refers to within 100 kbp.

PHASE III: GENOME-WIDE TREATMENT RESPONSE ANALYSES

The following end points were investigated: best-corrected ETDRS letter score in the treated eye at 6 and 12 months, change in central macular thickness at 6 and 12 months, angiographic evidence of persistent leakage at 6 and 12 months, and number of injections received by end of study. Logistic or linear regression, as appropriate, was used to evaluate associations between these measures and all SNPs successfully genotyped on the Illumina 660-Quad SNP chip.

A conservative P value for significance of $P < 10^{-7}$ was employed, after which only one SNP near the gene *noncoding RNA 158* (*NCRNA00158*), a member of the iRNA family, achieved genome-wide statistical significance (Table 12, Figure 1), the minor allele of which was associated with a much thicker central macula at 12 months than those with the ancestral allele. Figures 1 and 2 are a Manhattan plot whereby the y-axis shows the P value for each SNP in order along each chromosome. When a slightly less stringent correction is applied, then several SNPs achieve significance (Table 13), including several known to be expressed in the retina. *TSHZ2*, *CCDC102B*, *GRIA3*, *PTPRD*, *FLJ42392*, *SETD2*, *KCNQ5*, and *ME3* were similarly associated with macular thickness at 12 months as *NCRNA00158*. The minor allele of *Protocadherin-19* (*PCDH19*) was associated with a substantially worse visual outcome at the 6-month interim visit.

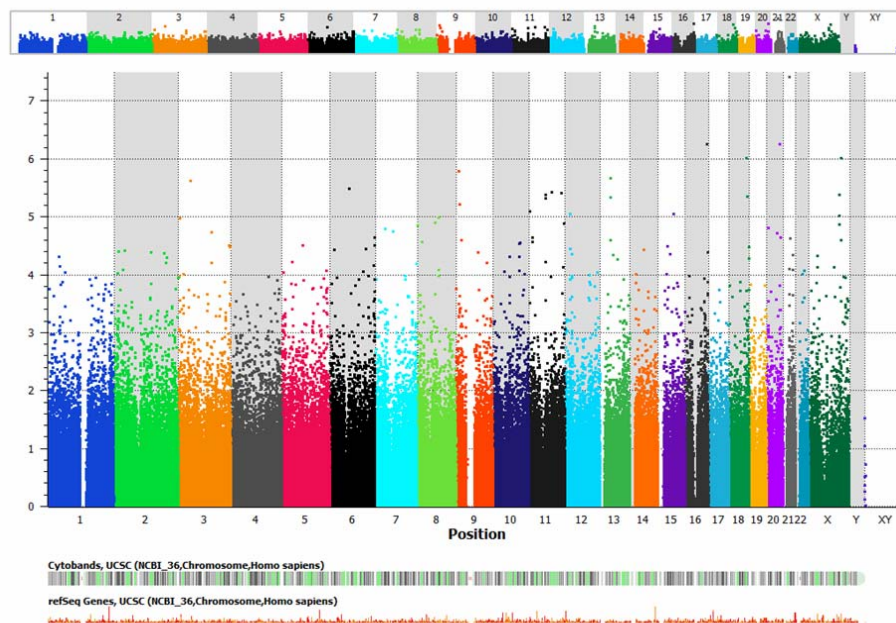


FIGURE 1

Manhattan plot of genome-wide association analysis. Change in central macular thickness at 12 months.

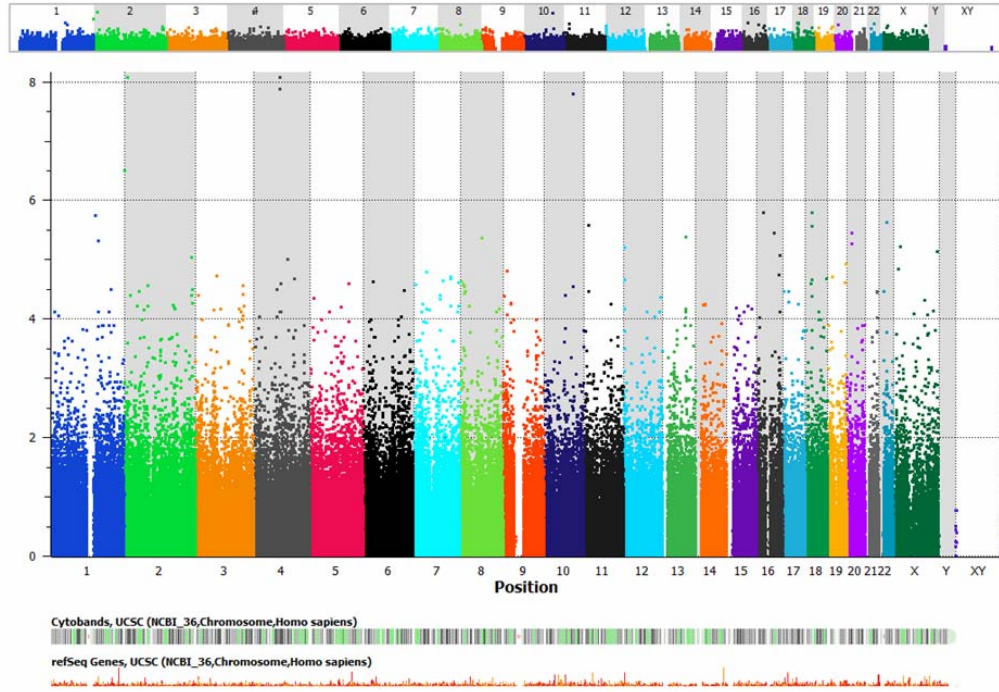


FIGURE 2

Manhattan plot of genome-wide association analysis. C-reactive protein at baseline.

TABLE 13. GENOME-WIDE ASSOCIATION ANALYSIS: SINGLE-NUCLEOTIDE POLYMORPHISMS ACHIEVING MARGINAL GENOME-WIDE SIGNIFICANCE LEVELS AFTER BONFERRONI CORRECTION FOR MULTIPLE TESTING*

MARKER	CHR	GENE†	UNCORRECTED REGRESSION P VALUE	END POINT MEASURE	GENOTYPE	MEAN	SE
rs13029479	2	TMEM18	3.14×10 ⁻⁷	CRP	AA	Not observed	
					AG	0.82	0.06
					GG	0.22	0.01
rs1205091	14	RGS6	4.81×10 ⁻⁷	Baseline VA	AA	39.00	2.33
					AG	57.41	0.74
					GG	72.92	0.63
rs2107332	20	TSHZ2	5.62×10 ⁻⁷	Diff CMT @ 12	AA	-125.52	3.44
					AG	70.00	32.53
					GG	481.00	6.65
rs8060546	16	Near IRF8	5.68×10 ⁻⁷	Diff CMT @ 12	AA	481.00	8.88
					AC	27.83	20.84
					CC	-130.59	3.17

TABLE 13 (continued). GENOME-WIDE ASSOCIATION ANALYSIS: SINGLE-NUCLEOTIDE POLYMORPHISMS ACHIEVING MARGINAL GENOME-WIDE SIGNIFICANCE LEVELS AFTER BONFERRONI CORRECTION FOR MULTIPLE TESTING*

MARKER	CHR	GENE†	UNCORRECTED REGRESSION P VALUE	END POINT MEASURE	GENOTYPE	MEAN	SE
rs12969428	18	Near CCDC102B	9.67×10 ⁻⁷	Diff CMT @ 12	AA	481.00	7.89
					AG	59.67	34.55
					GG	-118.72	3.23
rs1349916	X	Near GRIA3	9.78×10 ⁻⁷	Diff CMT @ 12	AA	-114.82	3.23
					AG	84.50	22.27
					GG	481.00	4.87
rs1358889	9	PTPRD	1.64×10 ⁻⁶	Diff CMT @ 12	AA	-156.57	4.62
					AC	-34.46	6.38
					CC	298.50	129.05
rs9302755	16	Near SALL1	1.88×10 ⁻⁶	Baseline CMT	AA	438.43	6.94
					AC	343.69	5.19
					CC	257.85	4.44
rs9549123	13	Near FLJ42392	2.17×10 ⁻⁶	Diff CMT @ 12	AA	481.00	17.88
					AC	16.67	18.19
					CC	-128.28	3.42
rs11717033	3	SETD2	2.39×10 ⁻⁶	Diff CMT @ 12	GG	Not observed	
					AG	251.00	66.73
					AA	-117.94	3.02
rs3212240	14	RIPK3	2.73×10 ⁻⁶	Baseline CMT	AA	415.00	6.47
					AG	309.94	4.06
					GG	238.71	7.39
rs3757105	6	KCNQ5	3.24×10 ⁻⁶	Diff CMT @ 12	AA	481.00	10.56
					AG	41.33	36.21
					GG	-117.00	3.31
rs3823118	6	KCNQ5	3.24×10 ⁻⁶	Diff CMT @ 12	AA	481.00	9.78
					AG	41.33	36.21
					GG	-117.00	3.31
rs1150345	11	Near FAM76B	3.82×10 ⁻⁶	Baseline VA	AA	34.50	3.89
					AC	50.87	1.03
					CC	69.79	0.47

TABLE 13 (continued). GENOME-WIDE ASSOCIATION ANALYSIS: SINGLE-NUCLEOTIDE POLYMORPHISMS ACHIEVING MARGINAL GENOME-WIDE SIGNIFICANCE LEVELS AFTER BONFERRONI CORRECTION FOR MULTIPLE TESTING*

MARKER	CHR	GENE†	UNCORRECTED REGRESSION P VALUE	END POINT MEASURE	GENOTYPE	MEAN	SE
rs618513	11	ME3 /Near CCDC81	3.82×10 ⁻⁶	Diff CMT @ 12	AA	481.00	5.58
					AG	-0.38	8.10
					GG	-133.96	3.98
rs1205106	14	RGS6	4.32×10 ⁻⁶	Baseline VA	AA	72.08	0.67
					AG	58.83	0.76
					GG	39.00	2.33
rs10126713	X	PCDH19	4.32×10 ⁻⁶	Diff VA @ 6	AA	-4.17	0.56
					AG	5.50	0.37
					GG	13.83	1.39

CRP, C-reactive protein; Chr, chromosome on which SNP is located; Diff CMT @ 12, change in central macular thickness between baseline and 12 months; Diff VA @ 6, change in best-corrected visual acuity between baseline and 6 months; SE, standard error; VA, best-corrected visual acuity.

*Bonferroni corrected P value P=0.05 - 0.10.

†Genes expressed in retina are shown in bold. “Near” refers to within 100 kbp

PHASE IV: ASSOCIATIONS WITH BASELINE CHARACTERISTICS

The following baseline characteristics were evaluated: best-corrected ETDRS letter score in the treated eye, fluorescein angiographic lesion characteristics, baseline OCT central macular thickness, and CRP at baseline. When examined on a candidate gene basis, as in Phase II of the analysis, the minor alleles of SNPs in *VEGFA*, *C3*, and *PF4* (*platelet factor 4*) were associated with a worse visual acuity at presentation (Table 14). The same SNP in *C3* is also associated with having a more thickened retina at presentation (Table 15). This is the same SNP associated with less response to ranibizumab therapy throughout the study (6 and 12 months). Three SNPs in the fibroblast growth factor receptor family (*FGFR-1* and *-2*) are also associated with worse macular thickness at presentation. *FGFR2* and *C3* were also associated with having a higher CRP at baseline (Table 16).

TABLE 14. CANDIDATE GENE ANALYSIS: BASELINE BEST-CORRECTED VISUAL ACUITY (ETDRS LETTERS)

GENE	SNP	CHR	POSITION	REGRESSION P VALUE	ALLELE*	MEAN	SE
<i>VEGFA</i>	rs833068	6	43850505	0.0045	GG	46.57	2.94
					AG	59.00	1.02
					AA	67.07	0.81
<i>VEGFA</i>	rs833069	6	43850557	0.0045	AA	46.57	2.94
					AG	59.00	1.02
					GG	67.07	0.81

**TABLE 14 (continued). CANDIDATE GENE ANALYSIS:
BASELINE BEST-CORRECTED VISUAL ACUITY (ETDRS LETTERS)**

GENE	SNP	CHR	POSITION	REGRESSION P VALUE	ALLELE*	MEAN	SE
<i>C3</i>	rs2230205	19	6660704	0.0456	AA	31.00	1.02
					AG	54.17	2.39
					GG	62.14	0.55
<i>PF4</i>	rs11574452	4	75065525	0.0498	AA	Not observed	
					AC	45.00	3.84
					CC	61.81	0.49

Chr, chromosome on which SNP is located; SNP, single-nucleotide polymorphism; SE, standard error.

*Minor alleles are shown in bold.

TABLE 15. CANDIDATE GENE ANALYSIS: BASELINE CENTRAL MACULAR THICKNESS

GENE	SNP	CHR	POSITION	REGRESSION P VALUE	ALLELE*	MEAN	SE
<i>C3</i> ¹⁹⁶	rs2230205	19	6660704	0.0443	AA	456.00	1.02
					AG	412.83	19.18
					GG	309.90	2.73
<i>FGFR2</i>	rs2912762	10	123266280	0.0072	AA	379.50	52.68
					AG	363.90	4.39
					GG	277.36	5.81
<i>FGFR2</i>	rs2981451	10	123268904	0.0101	AA	236.13	6.01
					AC	360.17	4.89
					CC	354.80	8.81
<i>FGFR1</i>	rs13317	8	38388671	0.0458	GG	538.00	1.01
					AG	347.17	7.21
					AA	313.74	3.88

Chr, chromosome on which SNP is located; SNP, single-nucleotide polymorphism; SE, standard error.

*Minor alleles are shown in bold.

TABLE 16. CANDIDATE GENE ANALYSIS: SERUM C-REACTIVE PROTEIN (MG/L) AT BASELINE

GENE	SNP	CHR	POSITION	REGRESSION P VALUE	ALLELE*	MEAN	SE
<i>FGFR2</i>	rs3135761	10	123266081	0.0013	AA	0.90	NA
					AG	0.60	0.11
					GG	0.26	0.01
<i>FGFR2</i>	rs1047057	10	123229102	0.0106	GG	0.57	0.07
					AG	0.29	0.02
					AA	0.22	0.01
<i>C3</i>	rs2277984	19	6630511	0.0120	GG	0.23	0.08
					AG	0.22	0.01
					AA	0.52	0.03
<i>CFI</i>	rs10029485	4	110933413	0.0131	GG	Not observed	
					AG	1.00	0.00
					AA	0.30	0.01

Chr, chromosome on which SNP is located; SNP, single-nucleotide polymorphism; SE, standard error.

*Minor alleles are shown in bold.

Baseline CRP was also highlighted in the genome-wide analyses where SNPs in *LPINI*, *ABCG2*, and *ZNF18A* were associated (Figure 2). Worse baseline visual acuity was associated with SNPs in *CCDC102B*, *RGS6*, *FAM76B*, all three being robustly expressed in the retina. *RIPK3* and *SALL1* were associated with more thickened maculae on OCT. These two are also known to be expressed in the retina.

DISCUSSION

The hypothesis tested in this thesis is that an individual's genetic makeup will influence response to drug therapy with ranibizumab for neovascular AMD. The design and conduct of this study had the principal aims of identifying genetic signals for future study and providing a template for other pharmacogenetic research in this area. Although a relatively small number of individuals were enrolled, the results of the study were positive and identify a number of polymorphisms in genes involved in the angiogenesis pathway as well as other novel candidates. Replication studies and functional analyses will be required before these can be confirmed as pharmacogenetic susceptibility variants.

METHODOLOGICAL CONSIDERATIONS

Clinical Trial Design

It is hoped that other pharmacogenetic studies will utilize some of the design features in this study. The strengths of this study were its prospective nature, the rigor of the entry criteria and patient follow-up, and its adherence to a real-life therapeutic regimen. It was also an advantage (not only to improve enrollment) that this study was conducted on more than one clinical site with several enrolling retinal specialists.

To date, two pharmacogenetic studies of anti-VEGF therapy for neovascular AMD have been published. Both were retrospective. The first reported the results of 86 patients treated with bevacizumab (Avastin), the humanized monoclonal antibody from which ranibizumab (Lucentis) was developed.¹⁰⁹ The second included 156 patients who received bevacizumab.¹¹⁰ It was the intent of this study to overcome many of these problems with prospective enrollment and clearly stated inclusion/exclusion criteria that limit phenotypic heterogeneity while achieving a reasonable enrollment rate.

At the time of designing this study, which was early 2007, a commonly used regimen for ranibizumab was “monthly as required” as determined by the treating physician. The scenario that best fits clinical practice would be one where the treating physician would be allowed to treat according to his or her best judgment. However, for the purposes of clinical trial design and to meet regulatory requirements, re-treatment criteria had to be stipulated for this study. It is the author’s belief that these re-treatment criteria most closely matched clinical decision making and indeed were among the first to abandon the notion that a central macular thickness greater than a certain thickness, eg, 250 μm , dictated re-treatment. Combining this with the rigor of monthly patient follow-up afforded the best visual outcomes for patients while also giving the opportunity to conduct the data collection in the most robust fashion and retain all patients in the study.

Other strengths were the independence of data collection, monitoring, and analysis. Treating physicians were masked to patient genotype, and the data were collected by third parties, namely, certified experienced ophthalmic research study coordinators. Furthermore, the data were monitored (as in a standard randomized control trial) by an independent monitor. Although the data analysis plan was entirely designed by the author and principal investigator, the statistical analyses were conducted by an independent off-site statistician.

The principal weakness of this study was the small number of individuals enrolled. It is clear that the greater the number of individuals, the greater the power of the study. At best, therefore, one might hope that by a targeted analysis, relevant statistical signals and trends might be discovered. The second weakness of the study is the length of follow-up, which was 1 year. It is conceivable that a longer period of treatment may identify additional genes implicated in treatment effect. The third methodological weakness is one that is familiar to randomized control trials. The study results can likely be applied to those patients with new-onset neovascular AMD; however, the findings may be limited in what they inform us about genes that play a role in poor treatment response in those who already have the condition, recurrent disease, or those who are yet to develop CNV.

Analysis Plan

It was decided to conduct the analysis in four phases. The first was an attempt to confirm that the population studied was reflective of the population with neovascular AMD as a whole. Given the chance of gene-environment interactions, this was important. Furthermore, it was critical to know that this population showed the same genetic susceptibility to the condition and when treated with ranibizumab showed the same overall treatment response as in other studies. The second phase of the analysis sought to minimize the correction needed for multiple testing. All too often, genetic analyses are hampered because of these considerations. By prospectively defining the primary end point measure, it was possible to minimize this issue. Furthermore, by selecting key candidate genes, the number of SNPs tested was reduced, avoiding the problems of genome-wide analyses. The third phase captured much of the genetic variation present in the population studied by taking advantage of SNPs genotyped by the Illumina 660 chip. Although multiple testing was a major consideration in this analysis phase, it offered the opportunity to evaluate genes not on the candidate gene list. The fourth phase made use of participant presenting features (acuity, macular appearance, and CRP) to examine whether particular genes may be at play in determining, arguably, the severity of the disease. CRP has been linked to AMD status in other studies¹⁸⁴⁻¹⁹² and was evaluated here as a potential biomarker of neovascular AMD. One weakness of the analysis plan was the SNP chip available at the time. Even though a large number of SNPs were genotyped, this includes only a minority of the >1.8 million in the human genome. Additionally, the chip (which at the time of the analysis was state-of-the-art) investigates none of those rarer alleles (minor allele frequency <0.05), and its coverage of coding variants is a mean of 4.0 per gene. Nonetheless, the chip provides a simple, robust, and cost-effective method for assessing genetic variants on a genome-wide basis.

RESULTS INTERPRETATION

There were no safety concerns in this study. There was one death; this was unrelated to the study. Other complications were mild and typical of those that accompany intravitreal injections. All the data was reviewed by a Data Safety Monitoring Committee, which made no recommendations.

Analysis Phase I

When compared with the CEI population of cases, the LGS participants appear to be representative of those with neovascular AMD. The CEI cases have been collected over the past decade from a typical hospital retina practice and carefully phenotyped with fundus photography and are known to be comparable with other case-control cohorts.^{53,64,193} That LGS participants had achieved a higher education level is surprising; this environmental risk factor was shown in the AREDS to be very mildly associated with AMD status.⁴⁰ What impact it may have in this study is unknown but is likely to be minimal.

When outcomes are examined independent of genotype, the eyes enrolled performed similarly to other studies. On average, individuals gained almost 6 ETDRS letters, and the OCT central macular thickness reduced by about 110 μm . On average, six or seven ranibizumab injections were required over the year, which indicates that treating physicians/investigators adhered to clinical practice norms. Overall, these are typical results for eyes with neovascular AMD.^{6,194}

Analysis Phases II and III

There are a number of different ways in which genetic variants might impact treatment outcomes in retinal and macular diseases. Patients harboring certain genotypes might experience better (or worse) vision with a given treatment. These may be correlated with, or independent of, changes in retinal anatomy or lesion activity. Additionally, certain genotypes might prolong the action of a given drug, meaning that it might need to be given less frequently. In this study, measures of all these parameters were made over the realistic time frame of 1 year, ie, one that was not too distant and yet long enough for treatment effects to likely become evident. It is

quite possible to expect gene associations to change with time as different gene pathways may be involved at different stages of disease regression/treatment. Thus, it is quite possible these genes may change if the study were extended. A useful number of statistically significant genetic results were produced by the analyses. Because the number of individuals in the analyses was small, a note of caution in their interpretation is appropriate, since some of the effects might be driven by very small numbers of individuals in a particular genotypic category, especially by those homozygous for the minor alleles.

The change in visual acuity at 1 year was designated as the primary end point. In line with the two previous retrospective studies,^{109,110} the *CFH* gene was implicated in determining poorer visual outcomes together with a SNP in the *CTGF* gene. After correction for multiple testing, the genome-wide analysis did not identify any variants achieving statistical significance for the primary end point. It is not known why the *CFH* gene, which has been identified in numerous studies as one of the two major susceptibility variants for AMD development, might influence treatment response. It is tempting to speculate that this is because genotypes in *CFH* dictate the severity or persistence of the CNV. In a pharmacogenetic analysis of treatment effect of AREDS supplements, the same effect was noted and was presumed to be because those harboring the *CFH* changes had more serious disease that was less likely to be influenced by the mild beneficial effect of oral supplementation.¹⁹⁵ A SNP in *CFH* is also identified as determining worse visual acuity response at the 6-month time point, suggesting that the effects of the gene on ranibizumab therapy become evident at an early interval.

Complement factor 3 (C3) is also a significant susceptibility gene for development of AMD. It is important to note that it has not been specifically implicated in the preferential development of either neovascular AMD or geographic atrophy. In analyses on the change in central macular thickness (at both 6 and 12 months), a good surrogate for improved anatomy of the central macular region and in turn crudely correlated with better vision, the minor allele of a SNP in *C3* appears associated with reduced thickening and improved architecture. This same SNP has been previously implicated as an AMD susceptibility variant.¹⁹⁶ One SNP, a few thousand base pairs upstream of the transcription initiation site of the gene *NCRNA00158*, is identified on the genome-wide analysis. *NCRNA00158*, or noncoding RNA gene 158, is transcribed into a microRNA molecule whose function has yet to be defined. The most notable of the SNPs that only marginally failed to reach genome-wide significance is rs3757105, an intronic SNP in the gene *KCNQ5* (potassium voltage-gated channel, KQT-like subfamily, member 5, expressed in the retina). The SNP may result in altered splicing of the gene.

Ranibizumab directly reduces the ocular concentration of VEGF. It might be expected that the activity of the residual VEGF might be important in determining whether CNV remains active. VEGFA binds its receptor FLT1 to activate the pathways that lead to neovascularization and vascular leakage, among others. The candidate gene analysis strongly implicates *FLT1* in this treatment response, identifying several SNPs associated with persistent leakage on fluorescein angiography at both 6 and 12 months. No other genes are identified on genome-wide analysis.

One of the most pertinent pharmacogenetic findings would be the identification of genetic variants that might be used to predict which eyes might receive less frequent injections. In this regard, the Phase II analysis in this study reveals three biologically plausible candidates warranting further investigation: *VEGFA*, its receptor *FLT1*, and *CFH*. The *VEGFA* SNP rs833068 has been the subject of prior enquiry but no direct association with the development of advanced neovascular AMD has been confirmed.⁹¹ The *CFH* SNP has previously been directly implicated in AMD susceptibility.^{53,197}

Analysis Phase IV

This final analysis took advantage of data collected at participant presentation and evaluated whether any neovascular AMD endophenotypes were influenced by genetics. The author is not aware, at the current time, that such an analysis has been performed on individuals newly identified with neovascular AMD. Although there are many determinants of how and when a patient might present with new-onset neovascular AMD, none more so than an individual's desire and ability to access to health care, this analysis provides initial insight into initial disease severity (visual acuity and OCT central macular thickness). Additionally, blood was drawn at this point for DNA analysis, and additional consent was obtained to measure serum CRP levels. There is interest in the potential use of CRP as a biomarker of disease in AMD, and its levels have been tentatively linked to disease progression.¹⁸⁴⁻¹⁹²

Two SNPs in *VEGFA* were associated with a worse ETDRS letter score at presentation. The genome-wide analysis identified a SNP near to *CCDC102B*, the *coiled-coil domain containing 102B* gene. Little is known of its function, but it is expressed abundantly in the retina and brain and in low levels in the skin only (by SAGE analysis). The same C3 SNP rs2230205 was also associated with worse acuity and greater retinal thickening on OCT. The *fibroblast growth factor receptor (FGFR)* genes were also similarly associated. FGFR2 are known to be proangiogenic and also involved in the persistence of neovascularization. The genome analysis identifies several variants showing marginally nonsignificant associations, the most prominent of which is *RIPK3*, *receptor-interacting serine-threonine kinase 3*, which is expressed in the retina. The SNP rs3212240 lies in the 5' promoter region of the gene.

Analysis of baseline CRP yielded several SNPs that achieved genome-wide significance. Potentially most prominently, two SNPs are located within with the *ABCG2* gene. rs2231153 and rs2725267 are both intronic nonsynonymous SNPs. *ABCG2* encodes the ATP-binding cassette, subfamily G, a member of the superfamily of ATP-binding cassette (ABC) transporters and expressed in the retina. ABC proteins transport various molecules across extracellular and intracellular membranes. ABCG2 is a xenobiotic transporter that may play an important role in the exclusion of xenobiotics from the brain and is probably involved in brain/retina-to-blood efflux.

What Is the Clinical Relevance of These Pharmacogenetic Interactions?

The findings from this type of study have several uses. Once validated, screening for these specific genetic variants can be performed quickly and easily in the clinical environment to identify patients' response characteristics. In those with favorable genetic

predisposition, in the case of ranibizumab, fewer injections need to be scheduled and perhaps the intervals between treatments and visits can be lengthened. In the case of those with worse genotypes, more frequent interventions can be considered, including potentially involving the use of other modalities. Additionally, this form of research can identify new avenues for drug development by implicating novel genes and the proteins they encode in disease pathogenesis and susceptibility.

FUTURE EXPERIMENTS

The data generated by this study warrant further analysis beyond the scope of this thesis. The first step will be to corroborate or refute each finding. This is best achieved by replication in another study. Such replication studies have become the mainstay of genetic epidemiology and are most successful in situations where the associated variants have a high allele frequency or exert a large effect. Some of the best examples are found in AMD gene-discovery research, *CFH* rs1061170⁵⁰⁻⁵² being the prime example of a common variant of large effect that can be replicated in numerous populations. The situation is much more challenging for rarer variants and/or those of smaller effect where the “noise” inherent in phenotypic variability drowns out the genetic signal. For example, in AMD, a late-onset, age-related disease, it is difficult to ascertain “true” controls who will never develop the disease. Such a challenge may well be amplified in pharmacogenetic studies where two or more variables are examined in combination, ie, phenotype and treatment response. Nonetheless, two other similar prospective studies have been identified that are currently in progress but will serve the purpose of replication. Of practical importance is which variants should be replicated. It is perfectly rational to include all those achieving significance after correction for multiple testing. However, the Bonferroni adjustment is very stringent, and although it reduces the chance of including false positives in further analyses, it may also result in truly important genetic variants being overlooked. There is no perfect answer to handling this issue with the exception of including all variants meeting the basic threshold of $P < 0.05$ in subsequent functional studies, which is totally impractical. A pragmatic alternative is to include variants showing marginal significance, and that is the rationale behind presenting Table 13, which details variants achieving a corrected P value of between 0.10 and 0.05.

The second step will be to formally investigate the functional variant in each gene. SNP chips have been designed to include the most polymorphic of genetic variants and also tag as many known haplotypes as possible, ie, groups of SNPs that are co-inherited. With this in mind, therefore, it is more than likely that the associated SNPs are not the causal variants. The causal variants are likely to be in the same haplotype as the associated SNP or in linkage disequilibrium with the SNP. It is now possible to determine such a list of SNPs using the human genome databases such as the HapMap and target genotyping to these. An alternative strategy is to directly sequence the genomic region encompassed by the associated SNP, which will document the complete genetic variation in that region. There are advantages and disadvantages in both approaches. Targeted SNP genotyping is cheaper and quicker but might overlook the causal variant. Chances of this increase if the variant (a) is relatively rare, (b) is not encompassed by the genotyped region, or (c) lies within a highly polymorphic area, for example, an intron, promoter region, or untranslated region. Direct sequencing, by its nature, captures all sequence variations but is more time-consuming and expensive to employ, particularly when large numbers of samples are to be evaluated. Whereas the assays for targeted genotyping are robust, direct sequencing can be technically problematic to achieve in certain genomic regions. Examples would be in highly repetitive DNA or GC-rich regions, which impact the fidelity of the sequencing required.

The overarching limitation of this strategy is that the “causal variant” can only be defined statistically. Not only do nonsynonymous sequence variants imply functional, structural consequence, but there is a growing body of evidence supporting the functional consequence of synonymous sequence variants¹⁹⁸⁻²⁰⁰ (and reviewed in^{201,202}). Thus the second step is to evaluate any genetic variant as to its putative functional consequence. Initially, this can be achieved bioinformatically, *in silico*, employing existing sequencing and protein databases. Powerful software exists from which it is possible to infer what type of *in vitro* evaluations might yield insights into their functional consequences. For instance, annotations of cSNPs and indels can be based on the genome Web browsers of the National Center for Biotechnology Information and the University of California Santa Cruz.^{203,204} Variants can be aggregated into functional units based on genomic context (eg, coding regions, promoter regions, microRNAs, transcription factor binding sites, conserved regions) or their putative individual functional effects.^{205,206} Weighting can be introduced to the analyses based on characteristics of the variants (eg, synonymous/nonsynonymous, type of amino acid substitution). Each variant identified from the sequencing can be annotated using both existing knowledge bases and computational predictions (based on PolyPhen-2 scores) to assess the putative functional role. Further weighting schemes can be employed to assist in prioritization based on the contextual scoring and putative functional effects. Finally, pathway analysis (in which variants are summarized at the level of genes and then aggregated across a shared pathway) can be conducted, as this can aid in identification of pathway-level associations and potential gene-gene interactions. From these analyses, *in vitro* experiments/assays can be designed to evaluate the functional effects of identified genetic variants.

The technology of genetic research is rapidly improving, offering new opportunities for pharmacogenetic research. While the Illumina 660-Quad SNP chip was state-of-the-art when utilized in this study, it has now been superseded by much more dense SNP arrays. Currently, Illumina (San Diego, California) produces the HumanOmniExpress (OmniExpress) and the supplementary HumanOmni1S-8 (Omni1S) high-density BeadChips. The OmniExpress and the Omni1S BeadChips simultaneously interrogate >700,000 and ~1.2 million variants, respectively, using data from all three HapMap phases and the latest pilot data from the 1000 Genomes Project.²⁰⁷ These arrays allow interrogation of a much denser map of variants with an enhanced coverage of rarer variants (minor allele frequency $\geq 2.5\%$) than ever before.

The recent introduction and cost reductions in Next Generation sequencing offer the realistic possibility of genotyping an

individual's entire genetic variation. A very convenient technology is that of Whole Exome sequencing. For example, Illumina's TruSeq Sample Preparation and TruSeq Exome Enrichment Kits on their GAIIX next-generation sequencing platform sequence and interrogate the complete exome that is 20,794 genes, covering an exomic target region size of ~62 Mb. Therefore, 91% of the latest RefSeq database build (Sept 2010 hg19) and 95% of the September 2010 release of the Collaborative Consensus Coding Sequence (CCDS) database can be sequenced in a single run. The GAIIX is capable of generating 95 GB of sequence data per flow cell in a pair-end read experiment, which actually sequences the same region almost 100 times for high-fidelity results.

While these technological advances are very exciting and will translate into substantial improvements in analysis, the study of pharmacogenetics is always hampered over time by changes in medical care, either changes in regimen or the introduction of new therapies. It is acknowledged, therefore, that the results of the Comparison of Age-Related Macular Degeneration Treatments Trials (CATT) (<http://www.med.upenn.edu/cpob/studies/CATT.shtml>) were published this year (2011), which may alter the use and frequency of ranibizumab injections. Additionally, it seems likely that Regeneron's VEGF-trap²⁰⁸ will be marketed later this year.

CONCLUSION

This study is a prospective pharmacogenetic study of genetically determined treatment response to intravitreal ranibizumab for neovascular AMD. Although small in nature, the aim was principally to demonstrate the methodology needed to conduct such studies. Encouragingly, the results identify a number of putative genetic variants, which will be further examined by replication and functional studies to elucidate the complete pharmacogenetic architecture of therapy for AMD.

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Conformity With Author Information: The Oregon Health and Science University Institutional Review Board approved the research. Prior to commencement, the study was submitted and approved as an Investigational New Drug application to the Food and Drug Administration (FDA IND 100 451) and registered with ClinicalTrials.gov (Identifier: NCT00469352). Data accumulation conformed to all Federal and State laws and was compliant with HIPAA guidelines (<http://www.hhs.gov/ocr/hipaa/privacy.html>). The conduct of this study was overseen by a Data Safety Monitoring Committee.

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APPENDIX. SINGLE-NUCLEOTIDE POLYMORPHISMS SHOWING GREATEST ASSOCIATIONS IN GENOME-WIDE ANALYSES

MARKER	CHROMOSOME	REGRESSION P	REGRESSION BONFERRONI P	PHENOTYPE	GENOTYPE	MEAN	SE
rs13421506	2	8.37036E-09	0.004076733	CRP	AC	1.03	0.05
					CC	0.25	0.01
rs2231153	4	8.37036E-09	0.004076733	CRP	AA	0.25	0.01
					AG	1.03	0.05
rs2725267	4	1.33361E-08	0.006495255	CRP	AA	0.26	0.01
					AG	1.03	0.05
rs17384909	10	1.59626E-08	0.007774476	CRP	AG	1.03	0.05
					GG	0.25	0.01
rs9675979	18	3.76321E-08	0.018328929	Baseline.VA	AG	40.00	1.22
					GG	67.62	0.38

APPENDIX (continued). SINGLE-NUCLEOTIDE POLYMORPHISMS SHOWING GREATEST ASSOCIATIONS IN GENOME-WIDE ANALYSES

MARKER	CHROMOSOME	REGRESSION <i>P</i>	REGRESSION BONFERRONI <i>P</i>	PHENOTYPE	GENOTYPE	MEAN	SE
rs2298515	21	3.84873E-08	0.01874545	X12.Month.Dif.CMT	AA	481.00	0.00
					AC	76.50	14.17
					CC	-126.65	3.14
rs13029479	2	3.13855E-07	0.152861065	CRP	AG	0.82	0.06
					GG	0.22	0.01
rs1205091	14	4.81169E-07	0.234356416	Baseline.VA	AA	39.00	2.33
					AG	57.41	0.74
					GG	72.92	0.63
rs2107332	20	5.61572E-07	0.273517184	X12.Month.Dif.CMT	AA	-125.52	3.44
					AG	70.00	32.53
					GG	481.00	0.00
rs8060546	16	5.67506E-07	0.2764074	X12.Month.Dif.CMT	AA	481.00	0.00
					AC	27.83	20.84
					CC	-130.59	3.17
rs12969428	18	9.67362E-07	0.47115959	X12.Month.Dif.CMT	AA	481.00	0.00
					AG	59.67	34.55
					GG	-118.72	3.23
rs1349916	X	9.77933E-07	0.476308099	X12.Month.Dif.CMT	AA	-114.82	3.23
					AG	84.50	22.27
					GG	481.00	0.00
rs3113392	4	1.33312E-06	0.64930648	Baseline.VA	AA	43.11	1.44
					AG	60.29	0.77
					GG	74.50	0.73
rs12960119	18	1.61921E-06	0.788626635	CRP	AA	0.22	0.01
					AG	0.75	0.05
rs4800723	18	1.61921E-06	0.788626635	CRP	AA	0.22	0.01
					AG	0.75	0.05
rs765529	18	1.61921E-06	0.788626635	CRP	AA	0.22	0.01
					AG	0.75	0.05
rs8095871	18	1.61921E-06	0.788626635	CRP	AG	0.75	0.05
					GG	0.22	0.01

APPENDIX (continued). SINGLE-NUCLEOTIDE POLYMORPHISMS SHOWING GREATEST ASSOCIATIONS IN GENOME-WIDE ANALYSES

MARKER	CHROMOSOME	REGRESSION <i>P</i>	REGRESSION BONFERRONI <i>P</i>	PHENOTYPE	GENOTYPE	MEAN	SE
rs9930491	16	1.61921E-06	0.788626635	CRP	AG	0.75	0.05
					GG	0.22	0.01
rs1358889	9	1.64206E-06	0.799775421	X12.Month.Dif.CMT	AA	-156.57	4.62
					AC	-34.46	6.38
					CC	298.50	129.05
rs9302755	16	1.87949E-06	0.915415745	Baseline.CMT	AA	438.43	6.94
					AC	343.69	5.19
rs9302755	16	1.87949E-06	0.915415745	Baseline.CMT	CC	257.85	4.44
rs9549123	13	2.16654E-06	1	X12.Month.Dif.CMT	AA	481.00	0.00
					AC	16.67	18.19
					CC	-128.28	3.42
rs11717033	3	2.38988E-06	1	X12.Month.Dif.CMT	AA	-117.94	3.02
					AG	251.00	66.73
rs3212240	14	2.73303E-06	1	Baseline.CMT	AA	415.00	6.47
					AG	309.94	4.06
					GG	238.71	7.39
rs3757105	6	3.23771E-06	1	X12.Month.Dif.CMT	AA	481.00	0.00
					AG	41.33	36.21
					GG	-117.00	3.31
rs3823118	6	3.23771E-06	1	X12.Month.Dif.CMT	AA	481.00	0.00
					AG	41.33	36.21
					GG	-117.00	3.31
rs1150345	11	3.82051E-06	1	Baseline.VA	AA	34.50	3.89
					AC	50.87	1.03
					CC	69.79	0.47
rs618513	11	3.82161E-06	1	X12.Month.Dif.CMT	AA	481.00	0.00
					AG	-0.38	8.10
					GG	-133.96	3.98
rs1205106	14	4.30942E-06	1	Baseline.VA	AA	72.08	0.67
					AG	58.83	0.76
					GG	39.00	2.33
rs10126713	X	4.31516E-06	1	X6.Month.Dif.VA	AA	-4.17	0.56
					AG	5.50	0.37
					GG	13.83	1.39

APPENDIX (continued). SINGLE-NUCLEOTIDE POLYMORPHISMS SHOWING GREATEST ASSOCIATIONS IN GENOME-WIDE ANALYSES							
MARKER	CHROMOSOME	REGRESSION <i>P</i>	REGRESSION BONFERRONI <i>P</i>	PHENOTYPE	GENOTYPE	MEAN	SE
rs1050115	2	4.35301E-06	1	X12.M.Fluid..Y.N.	AA	NA	NA
					GG	NA	NA
					AG	NA	NA
rs2842276	10	5.92277E-06	1	X12.M.Fluid..Y.N.	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs7622700	3	6.06553E-06	1	Leakage.Month.12	AA	NA	NA
					AC	NA	NA
					CC	NA	NA
rs11997272	8	6.37223E-06	1	X6.Month.Dif.CMT	AA	222.00	0.00
					AC	-6.88	10.08
					CC	-147.37	3.42
rs10188066	2	6.49761E-06	1	X12.M.Fluid..Y.N.	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs1469996	2	6.49761E-06	1	X12.M.Fluid..Y.N.	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs6430585	2	6.49761E-06	1	X12.M.Fluid..Y.N.	AA	NA	NA
					AC	NA	NA
					CC	NA	NA
rs9287442	2	6.49761E-06	1	X12.M.Fluid..Y.N.	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs12662871	6	6.596E-06	1	Baseline.VA	AA	67.42	0.47
					AG	46.27	1.31
					GG	31.00	0.00
rs7607942	2	6.69616E-06	1	X6.Month.Dif.VA	AA	-2.88	0.38
					AG	8.75	0.48
					GG	13.67	1.39

APPENDIX (continued). SINGLE-NUCLEOTIDE POLYMORPHISMS SHOWING GREATEST ASSOCIATIONS IN GENOME-WIDE ANALYSES

MARKER	CHROMOSOME	REGRESSION <i>P</i>	REGRESSION BONFERRONI <i>P</i>	PHENOTYPE	GENOTYPE	MEAN	SE
rs4429936	6	7.0178E-06	1	X6.Month.Dif.VA	AA	-5.67	0.59
					AG	1.12	0.47
					GG	11.31	0.52
rs4543241	5	7.1611E-06	1	Baseline.CMT	AA	398.81	4.89
					AG	291.53	4.52
					GG	233.20	9.75
rs1952442	14	7.53852E-06	1	Baseline.CMT	AA	458.67	23.14
					AG	375.80	5.45
					GG	272.61	3.49
rs883159	14	7.53852E-06	1	Baseline.CMT	AA	458.67	23.14
					AG	375.80	5.45
					GG	272.61	3.49
rs7316876	12	7.80046E-06	1	X6.Month.Dif.CMT	AA	-276.75	16.14
					AG	-145.86	5.82
					GG	-36.83	5.64
rs4805784	19	7.98712E-06	1	Baseline.VA	AA	51.19	0.70
					AC	68.70	0.83
					CC	79.20	0.55
rs4877042	9	8.20526E-06	1	X6.Month.Dif.VA	AA	-0.40	0.28
					AG	12.91	0.61
					GG	0.00	0.00
rs5920818	X	8.52893E-06	1	X6.Month.Dif.VA	AA	13.83	1.39
					AG	5.05	0.36
					GG	-4.27	0.64
rs5967094	X	8.52893E-06	1	X6.Month.Dif.VA	AA	-4.27	0.64
					AG	5.05	0.36
					GG	13.83	1.39
rs209957	20	8.54623E-06	1	Baseline.CMT	AA	287.25	3.16
					AG	418.83	4.98
rs11022983	11	8.65993E-06	1	Baseline.VA	AG	38.14	1.79
					GG	65.21	0.42
rs12146602	11	8.65993E-06	1	Baseline.VA	AG	38.14	1.79
					GG	65.21	0.42

APPENDIX (continued). SINGLE-NUCLEOTIDE POLYMORPHISMS SHOWING GREATEST ASSOCIATIONS IN GENOME-WIDE ANALYSES							
MARKER	CHROMOSOME	REGRESSION <i>P</i>	REGRESSION BONFERRONI <i>P</i>	PHENOTYPE	GENOTYPE	MEAN	SE
rs10828564	10	8.979E-06	1	X6.Month.Dif.CMT	AA	-151.22	3.18
					AG	30.11	11.49
rs6892289	5	9.04772E-06	1	Baseline.VA	AA	66.58	0.48
					AG	42.70	1.15
rs1542409	13	9.62144E-06	1	X12.M.Fluid..Y.N.	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs2576060	18	1.00522E-05	1	Leakage.Month.12	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs2068967	21	1.0656E-05	1	X12.M.Fluid..Y.N.	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs4428995	10	1.13512E-05	1	Leakage.Month.12	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs7268671	20	1.1412E-05	1	X12.Month.Dif.VA	AA	-0.33	0.26
					AG	14.50	0.66
					GG	28.00	0.00
rs8115510	20	1.1412E-05	1	X12.Month.Dif.VA	AA	-0.33	0.26
					AG	14.50	0.66
					GG	28.00	0.00
rs7703021	5	1.16387E-05	1	X6.M.Fluid..Y.N.	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs9368215	6	1.23089E-05	1	X6.Month.Dif.VA	AA	-7.25	0.83
					AG	0.06	0.48
					GG	10.00	0.45
rs7429875	3	1.25265E-05	1	Baseline.CMT	AA	395.71	4.73
					AG	276.39	3.55
					GG	218.00	0.00
rs10501500	11	1.26442E-05	1	X6.Month.Dif.VA	AA	6.89	0.27
					AG	-7.63	0.55

APPENDIX (continued). SINGLE-NUCLEOTIDE POLYMORPHISMS SHOWING GREATEST ASSOCIATIONS IN GENOME-WIDE ANALYSES

MARKER	CHROMOSOME	REGRESSION <i>P</i>	REGRESSION BONFERRONI <i>P</i>	PHENOTYPE	GENOTYPE	MEAN	SE
rs10211519	2	1.27628E-05	1	X6.Month.Dif.CMT	AA	-171.59	5.24
					AG	-77.19	5.65
					GG	113.33	32.54
rs1157907	2	1.27628E-05	1	X6.Month.Dif.CMT	AA	-171.59	5.24
					AG	-77.19	5.65
					GG	113.33	32.54
rs6432474	2	1.27628E-05	1	X6.Month.Dif.CMT	AA	-171.59	5.24
					AC	-77.19	5.65
					CC	113.33	32.54
rs6724694	2	1.27628E-05	1	X6.Month.Dif.CMT	AA	-171.59	5.24
					AG	-77.19	5.65
					GG	113.33	32.54
rs1459019	10	1.28914E-05	1	Leakage.Month.12	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs150892	1	1.37412E-05	1	X12.M.Fluid..Y.N.	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs223248	1	1.37412E-05	1	X12.M.Fluid..Y.N.	AA	NA	NA
					AC	NA	NA
					CC	NA	NA
rs730005	2	1.37944E-05	1	Leakage.Month.12	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs7614432	3	1.41381E-05	1	Baseline.CMT	AA	305.03	2.52
					AG	483.40	8.74
rs6056327	20	1.41852E-05	1	Leakage.Month.12	AG	NA	NA
					GG	NA	NA
rs12599487	16	1.454E-05	1	Leakage.Month.12	AA	NA	NA
					AG	NA	NA
					GG	NA	NA

APPENDIX (continued). SINGLE-NUCLEOTIDE POLYMORPHISMS SHOWING GREATEST ASSOCIATIONS IN GENOME-WIDE ANALYSES

MARKER	CHROMOSOME	REGRESSION <i>P</i>	REGRESSION BONFERRONI <i>P</i>	PHENOTYPE	GENOTYPE	MEAN	SE
rs2932126	18	1.48876E-05	1	Leakage.Month.12	AA	NA	NA
					AC	NA	NA
					CC	NA	NA
rs10493631	1	1.49337E-05	1	X6.M.Fluid..Y.N.	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs1029236	3	1.51151E-05	1	X6.M.Fluid..Y.N.	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs9665534	10	1.54575E-05	1	Leakage.Month.12	AA	NA	NA
					AC	NA	NA
					CC	NA	NA
rs7611945	3	1.56208E-05	1	X6.Month.Dif.VA	AA	18.00	0
					AG	11.55	0.58
					GG	-0.54	0.31
rs1050115	2	1.61014E-05	1	X12.M.Fluid..Y.N.	AG	NA	NA
					AA	NA	NA
					GG	NA	NA
rs3762096	10	1.61644E-05	1	Baseline.CMT	AA	454.00	41.76
					AG	387.00	5.92
					GG	281.62	3.18
rs10911048	1	1.621E-05	1	X12.Month.Dif.VA	AA	-8.67	1.39
					AG	1.67	0.34
					GG	11.63	1.05
rs1697143	5	1.80828E-05	1	X6.Month.Dif.CMT	AA	-207.00	1.41
					AC	-173.78	4.55
					CC	-16.88	6.35
rs6007260	22	1.81646E-05	1	X12.Month.Dif.VA	AA	-7.00	1.05
					AG	3.00	0.31
					GG	15.75	2.16
rs7030915	9	1.89786E-05	1	X6.M.Fluid..Y.N.	AA	NA	NA
					AG	NA	NA
					GG	NA	NA

APPENDIX (continued). SINGLE-NUCLEOTIDE POLYMORPHISMS SHOWING GREATEST ASSOCIATIONS IN GENOME-WIDE ANALYSES

MARKER	CHROMOSOME	REGRESSION <i>P</i>	REGRESSION BONFERRONI <i>P</i>	PHENOTYPE	GENOTYPE	MEAN	SE
rs7763304	6	2.03898E-05	1	X6.Month.Dif.VA	AA	-8.00	1.20
					AG	-4.88	0.66
					GG	8.00	0.34
rs2036826	8	2.10215E-05	1	X12.Month.Dif.VA	AA	7.88	0.51
					AG	-0.07	0.47
					GG	-12.25	1.82
rs10212894	4	2.22367E-05	1	X6.Month.Dif.CMT	AA	119.00	72.83
					AG	-67.78	5.46
					GG	-183.67	5.49
rs1865178	4	2.24963E-05	1	X12.Month.Dif.VA	AA	-2.89	0.45
					AG	5.47	0.44
					GG	23.00	3.54
rs7671764	4	2.24963E-05	1	X12.Month.Dif.VA	AA	-2.89	0.45
					AG	5.47	0.44
					GG	23.00	3.54
rs11637483	15	2.31894E-05	1	X6.Month.Dif.CMT	AA	222.00	0.00
					AG	14.20	14.77
					GG	-136.83	3.21
rs4744628	9	2.36202E-05	1	Baseline.CMT	AA	273.70	3.31
					AG	401.15	5.92
					GG	410.33	20.13
rs925669	4	2.53075E-05	1	X6.M.Fluid..Y.N.	AA	NA	NA
rs925669	4	2.53075E-05	1	X6.M.Fluid..Y.N.	AG	NA	NA
					GG	NA	NA
rs12515335	5	2.58637E-05	1	X6.M.Fluid..Y.N.	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs238228	17	2.80628E-05	1	X6.Month.Dif.VA	AA	0.04	0.27
					AG	13.10	0.76
rs3791935	2	2.80735E-05	1	X6.Month.Dif.VA	AA	24.00	0.00
					AG	10.70	0.50
					GG	0.04	0.32

APPENDIX (continued). SINGLE-NUCLEOTIDE POLYMORPHISMS SHOWING GREATEST ASSOCIATIONS IN GENOME-WIDE ANALYSES

MARKER	CHROMOSOME	REGRESSION <i>P</i>	REGRESSION BONFERRONI <i>P</i>	PHENOTYPE	GENOTYPE	MEAN	SE
rs1569660	6	2.84253E-05	1	X6.Month.Dif.VA	AA	12.55	0.60
					AG	0.84	0.39
					GG	-3.67	1.17
rs4953063	2	2.91746E-05	1	X12.Month.Dif.VA	AA	-8.33	1.91
					AG	1.86	0.27
					GG	12.14	1.33
rs2272668	8	3.12468E-05	1	X12.Month.Dif.VA	AA	8.67	0.54
					AG	-1.84	0.38
					GG	-19.00	0.00
rs10911044	1	3.12894E-05	1	X12.Month.Dif.VA	AA	10.00	0.66
					AG	0.71	0.53
					GG	-6.00	0.88
rs6756245	2	3.22119E-05	1	X6.M.Fluid..Y.N.	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs1565684	8	3.2949E-05	1	X6.M.Fluid..Y.N.	AA	NA	NA
					AG	NA	NA
					GG	NA	NA
rs1190270	6	3.91556E-05	1	X6.M.Fluid..Y.N.	AC	NA	NA
					CC	NA	NA
rs6508497	18	4.86095E-05	1	X6.M.Fluid..Y.N.	AA	NA	NA
					AG	NA	NA
					GG	NA	NA

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